



AGENDA

Regular Meeting of the Cuyama Basin Water District

Wednesday, September 23, 2020 at 3:30 p.m. at

Call (559) 256-4200, Phone Bridge 4: PIN 0612 then #

NOTE: PARTICIPATION BY TELECONFERENCE

Due to the rise in severity of COVID-19 and consistent with Executive Order N-29-20 suspending provisions of the Brown Act to allow for public meetings to be conducted remotely, the Cuyama Basin Water District will hold its regular Board of Directors meeting by teleconference. There will be no meeting site open to the public. Public participation is encouraged using the teleconference information referenced above.

- 1. Call to Order**
- 2. Pledge of Allegiance**
- 3. Roll Call**
- 4. Self-Introductions**
- 5. Public Comment**—This portion of the meeting is set aside to provide the public an opportunity to bring to the attention of the Board members matters within the jurisdiction of the Board and which are not on today's agenda. No action will be taken on any matter discussed during this portion of the meeting. The total time allotted for this portion of the meeting may be limited and to no more than 5 minutes for each speaker.
- 6. Minutes**
 - a. August 26, 2020 Board Meeting Minutes – Board Approval Needed**
- 7. Financial Report**
 - a. YTD Financial Report and Accounts Payable – Board Approval Needed**
 - b. Assessments Status Report**
- 8. Management/Administration**
 - a. Status Report**

9. Groundwater Sustainability Agency (GSA) for Cuyama Basin

- a. Status Report on Cuyama Basin Groundwater Sustainability Agency
- b. Review potential CBGSA Agenda items and consider action providing any recommendations regarding agenda items.
- c. Review and possible action on delegated tasks from CBGSA
- d. EKI update on Technical Components

10. New Business—requests for items to be placed on next agenda

11. Announcements

- a. Next meeting October 28, 2020
- b. Future meetings: November 25, 2020 (Propose move to November 18th)
December 23, 2020 (day before Christmas Eve) or
December 30, 2020 (day before New Year's Eve)

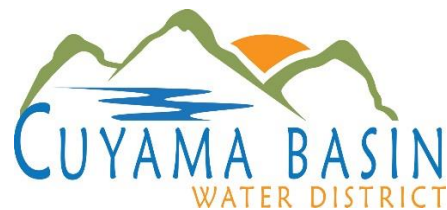
12. Adjournment

Americans with Disabilities Act of 1990: Under this act, a qualifying person may request the District provide a disability-related modification or accommodation in order to participate in any public meeting of the District. Such assistance includes alternative formats for the agendas and agenda packets used for any public meetings of the District. Requests for assistance shall be made in person, via telephone, or in written form to Matt Klinchuch at 661-616-5900, or mklinchuch@ppeng.com. Requests must be received at least 48 hours prior to a public meeting.

Acronyms*

AF	Acre-Feet
BMP	Best Management Practice
CBGSA	Cuyama Basin Groundwater Sustainability Agency
CBWD	Cuyama Basin Water District
CCSD	Cuyama Community Services District
CEQA	California Environmental Quality Act
CFS	cubic feet per second
CVGB	Cuyama Valley Groundwater Basin
DAC	Disadvantaged Community (80% CA MHI)
DWR	Department of Water Resources
ET	Evapotranspiration
GSA	Groundwater Sustainability Agency
GSP	Groundwater Sustainability Plan
HCM	Hydrogeologic Conceptual Model
ILRP	Irrigated Lands Regulatory Program
JPA	Joint Powers Authority
LAFCo	Local Agency Formation Commission
MOU	Memorandum of Understanding
PSP	Proposed Solicitation Package
SBCWA	Santa Barbara County Water Agency
SDAC	Severely Disadvantaged Community (60% CA MHI)
SGMA	Sustainable Groundwater Management Act of 2014
SWRCB	State Water Resources Control Board
USGS	United States Geological Survey

*More to be added as necessary



MEETING MINUTES
Regular Meeting of the
Cuyama Basin Water District

Wednesday, August 26th, 2020 at 3:30 p.m.
Participation by Teleconference

BOARD MEMBERS PRESENT:

Derek Yurosek, President
Byron Albano, Vice-President
Tom Bracken, Treasurer
George Cappello
Jane Wooster

AUDIENCE MEMBERS PRESENT:

Matt Klinchuch, CBWD GM
Alan Doud, CBWD Counsel
Brad DeBranch, CBWD Secretary
Jeff Shaw, EKI
Jim Menzies
Dan Wilke, Bolthouse Farms
Brenton Kelly
Lorena Baste, Sunridge Nursery
Ann Myhre

1. **Call to Order** – President Yurosek called the meeting to order at 3:30 p.m.
2. **Pledge of Allegiance** – Completed
3. **Roll Call** – Present: Directors Yurosek, Albano, Bracken, Cappello, and Wooster. Board quorum present.
4. **Self-Introductions** – All audience members on the teleconference were introduced.
5. **Public Comment** – No public comment received.
6. **Minutes**
 - a. A motion was made by Director Bracken and seconded by Director Wooster to approve the July 22nd, 2020 Regular Meeting Minutes. The motion was unanimously carried.
7. **Financial Report**
 - a. YTD Financial Report & Accounts Payable – An overview of the current financial report and accounts payable was provided by General Manager. A motion was made by Director Wooster and seconded by Director Bracken to accept and pay the Accounts Payable for July 2020. The motion was unanimously carried.

- b. Assessments Status Report – CBWD has received approximately 90% of landowner assessments for 2020.

8. Management/Administration

- a. Fiber Link Support Letter – GM briefed the Board on fiber optic support letter request from Paul Chounet and whether CBWD would support fiber optic connection throughout the valley. A motion was made by Cappello and seconded by Bracken to support letter drafted by staff. The motion was unanimously carried.

9. Groundwater Sustainability Agency for Cuyama Basin

- a. Status Report on Cuyama Basin Groundwater Sustainability Agency – Next GSA meeting is scheduled for November 4th, 2020. The GSA sent a letter to CBWD regarding delegation of MA tasks as discussed in last GSA meeting.
- b. Potential CBGSA Agenda Items – Board has not received Agenda, and continued on to agenda item 9c
- c. Review & Possible Action on Delegated Tasks from CBGSA – CBWD has two more meetings to further review and consider response letter (drafted by Staff) related to tasks outlined in the Management Area delegation letter from the CBGSA dated August 11th, 2020. The CBWD Board thought the task description in the letter was vague. Staff can provide bullet list of items that WD can attribute to, for example the water budget. Director Yurosek recommended sending the letter, as drafted, to see how the GSA responds. Board consensus on letter.
- d. EKI Update on Technical Components – Related to the Management Areas, CBWD Board is ok with using roads and fences to determine MA boundary uncertainties or small slivers across parcels. EKI to produce map using this guidance. EKI informed the board on inactive vs active cells in model analysis.

10. New Business

- a. No new business discussed.

11. Announcements

- a. Next meeting: September 23rd, 2020
- b. Future meetings: October 28th, 2020

12. Adjournment - Meeting was adjourned by Director Yurosek at 4:50 p.m.

Respectfully Submitted,

**Brad DeBranch, Secretary
Cuyama Basin Water District**

Cuyama Basin Water District - 2020 Income, Expenses, & Budget Performance (As of 9/17/2020)

CATEGORY	CODE	DESCRIPTION	January	February	March	April	May	June	July	August	September	October	November	December	Total	Budget	Budget Remaining	% of Budget	
Income																			
	1000	2019 District Assessment	-	4,139.23	8,854.82	-	-	-	-	-	-	-	-	-	679,129.39	712,800.00	33,670.61	95%	
		2020 District Assessment	-	-	-	87,819.62	81,806.14	20,394.08	1,661.44	271.06	605.95	-	-	-	192,558.29	218,545.00	25,986.71	88%	
	1100	Interest - Savings/Money Market/Etc.	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
	2000	Miscellaneous Payments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
		Santa Barbara Co. Tax Bill (2018 Assessment)	-	-	-	4,794.30	6.51	993.20	-	-	-	-	-	-	-	7,309.56	7,086.69	(222.87)	103%
		Santa Barbara Co. Tax Bill (2019 Assessment)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!
		San Luis Obispo Co. Tax Bill (2018 Assessment)	221.28	-	-	-	134.22	38.42	36.82	-	-	-	-	-	-	430.74	429.99	(0.75)	100%
		San Luis Obispo Co. Tax Bill (2019 Assessment)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!
		Ventura Co. Tax Bill (2018 Assessment)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!
		Ventura Co. Tax Bill (2019 Assessment)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!
Total Income		221.28	4,139.23	8,854.82	92,613.92	81,946.87	21,425.70	1,698.26	271.06	605.95	-	-	-	200,298.59	226,061.68	25,763.09	89%		
Expense																			
	3000	Manager - General District	203.67	1,624.50	3,274.40	4,237.76	2,257.50	2,785.62	2,945.39	2,327.90	-	-	-	-	19,656.74	40,000.00	20,343.26	49%	
	3100	Accounting & Auditing Services	-	-	-	-	-	-	-	2,720.00	-	-	-	-	2,720.00	6,000.00	3,280.00	45%	
	3200	Bank Service Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0%	
	3300	Insurance	50.00	5,546.00	-	-	-	-	-	-	-	-	-	-	5,596.00	5,500.00	(96.00)	102%	
	3400	Legal Services	1,545.76	1,694.38	2,503.36	1,032.50	1,356.99	1,917.50	737.50	1,392.80	-	-	-	-	12,180.79	53,000.00	40,819.21	23%	
	4000	Manager - SGMA Activities	1,500.00	3,975.00	3,600.00	1,725.00	1,657.66	2,175.00	1,725.00	2,025.00	-	-	-	-	18,382.66	72,500.00	54,117.34	25%	
	5000	Data Collection	-	-	-	-	-	-	-	-	-	-	-	-	-	26,000.00	26,000.00	0%	
	6000	Technical Studies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
	7000	Groundwater Sustainability Plan (GSP) Development	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	#DIV/0!	
	8000	Groundwater Sustainability Plan (GSP) Implementation	5,225.72	17,958.46	-	11,351.78	-	16,564.86	-	-	-	-	-	-	51,100.82	131,200.00	80,099.18	39%	
	9000	Miscellaneous Expenses	-	1,477.60	-	-	-	-	-	-	-	-	-	-	1,477.60	15,545.00	14,067.40	10%	
Total Expenses		8,525.15	32,275.94	9,377.76	18,347.04	5,272.15	23,442.98	5,407.89	8,465.70	-	-	-	-	111,114.61	349,745.00	238,630.39	32%		
Net Income		(8,303.87)	(28,136.71)	(522.94)	74,266.88	76,674.72	(2,017.28)	(3,709.63)	(8,194.64)	605.95	-	-	-	-	89,183.98	(123,683.32)			
Monthly Bank Balance (month end)		935,158.05	937,819.68	846,683.85	863,336.87	1,004,476.52	1,013,451.80	991,507.39	989,860.95	-	-	-	-						

Cuyama Basin Water District - YTD Budget Variance Report (As of 9/17/2020)

CATEGORY	DESCRIPTION	2020 Budget	Actual (YTD)	Budget Variance
Income				
	2020 District Acreage Assessment	\$218,545	\$192,558	-\$25,987
	Interest Earnings - Savings/Money Market/Etc.	\$0	\$0	\$0
	Miscellaneous Member Payments	\$7,517	\$7,740	\$224
	Total Income	\$226,061.68	\$200,298.59	-\$25,763.09
Expense				
	Manager - General District	\$40,000	19,656.74	-\$20,343
	Accounting & Auditing Services	\$6,000	2,720.00	-\$3,280
	Bank Service Charges	\$0	-	\$0
	Insurance	\$5,500	5,596.00	\$96
	Legal Services	\$53,000	12,180.79	-\$40,819
	Manager - SGMA Activities	\$72,500	18,382.66	-\$54,117
	Data Collection	\$26,000	-	-\$26,000
	Technical Studies	\$0	-	\$0
	Groundwater Sustainability Plan (GSP) Development	\$0	-	\$0
	Groundwater Sustainability Plan (GSP) Implementation	\$131,200	51,100.82	-\$80,099
	Miscellaneous Expenses	\$15,545	1,477.60	-\$14,067
	Total Expenses	\$349,745.00	\$111,114.61	-\$238,630.39
	Net Income	-\$123,683.32	\$89,183.98	\$212,867.30

Cuyama Basin Water District
Accounts Payable - Ready for Approval
September 2020

<u>Num</u>	<u>Date</u>	<u>Name</u>	<u>Account</u>	<u>Paid Amount</u>
1118	8/31/2020	BHK - Barbich Hooper Ki	2003562 - Valley Repub	
			3100 Accounting & Au	-\$2,720.00
TOTAL				-\$2,720.00
1119	9/2/2020	Young-Wooldridge	2003562 - Valley Repub	
			3400 Legal	-\$1,392.80
TOTAL				-\$1,392.80
1120	9/17/2020	Provost & Pritchard Cons	2003562 - Valley Repub	
			3000 Manager - Gener	-\$2,327.90
			4000 Manager - SGMA	-\$2,025.00
TOTAL				-\$4,352.90



Cuyama Basin Water District
Matt Klinchuch
1800 30th Street, Suite 280
Bakersfield, CA 93301

Invoice Date.	08/31/2020
Invoice No.	132581
Client No.	B08786.00

----- *Please Detach And Return Upper Portion With Remittance For Proper Credit To Your Account* -----

B08786.00 Cuyama Basin Water District
Invoice No. 132581 08/31/2020

Audit services rendered through August 2020 , including:

Progress billing for planning procedures related to the December 31, 2019 year audit engagement including review of prior year files, review of interim information and preparation and updating of basic client information documentation.

Analysis and review of information obtained, performance of specific audit procedures and preparation of necessary adjusting journal entries in connection with current year audit engagement.

Current Amount Due \$ 2,720.00

Delinquent Amounts will accrue interest at 1% per month (12% per annum)



A LIMITED LIABILITY PARTNERSHIP • EST. 1939
 1800 30TH STREET, FOURTH FLOOR
 BAKERSFIELD . CA. 93301

HTTP://WWW.YOUNGWOOLDRIDGE.COM
 EMAIL: ACCOUNTING@YOUNGWOOLDRIDGE.COM
 PHONE: (661) 327-9661
 FACSIMILE: (661) 327-1087

CUYAMA BASIN WATER DISTRICT
 MATT KLINCHUCH, PC
 MANAGER- ASSESSOR-COLLECTOR
 1800 30TH STREET, SUITE 280
 BAKERSFIELD, CA 93301

August 31, 2020

Client ID 20308 SKK

Statement for period through August 31, 2020

Summary by Matter

Matter	Description	Prior Balance	Payments & Adjustments	Current Charges	Total Due
4	BOARD MEETINGS AND MATTERS (INCL. BROWN ACT)	\$236.00	\$0.00	\$1,121.00	\$1,357.00
34	GROUNDWATER MANAGEMENT PLAN	\$501.51	\$0.00	\$265.50	\$767.01
100	COSTS	-\$0.01	\$0.00	\$6.30	\$6.29

PRIOR STATEMENT BALANCE	\$737.50
CURRENT CHARGES	\$1,392.80
PAY THIS AMOUNT	\$2,130.30

Any Payments Received After 08/31/20 Will Appear on Your Next Statement

Visa, MasterCard and Discover accepted

A FINANCE CHARGE OF 1.5% WILL BE ADDED TO YOUR BALANCE 30 DAYS AFTER STATEMENT DATE

286 W. Cromwell Avenue
 Fresno, CA 93711
 (559) 449-2700
 Fax (559) 449-2715

EST. 1968
**PROVOST &
 PRITCHARD**
CONSULTING GROUP
An Employee Owned Company

Matthew Klinchuch
 Cuyama Basin Water District
 1800 30th Street, Suite 200
 Bakersfield, CA 93301

September 17, 2020
 Project: No: 02620-20-001
 Invoice No: 81044

Project Name: 2020 CBWD-Manager

Client Project #:

Work this period included:

MAN: District administration. Website updates. Work with County of San Luis Obispo for 2020-2021 direct assessments. Prepare and attend via teleconference August Board meeting. Meeting follow-up items.

SGMA: Delegation Letter and Management Area tasks. Coordination with GSA staff. Prepare and attend via teleconference August CBWD Board and Special GSA meetings.

Professional Services from August 1, 2020 to August 31, 2020

Phase: MAN Manager

Labor

	Hours	Rate	Amount	
Senior Engineer	12.00	150.00	1,800.00	
Project Administrator	1.00	78.00	78.00	
Project Administrator	2.50	84.00	210.00	
Project Administrator	.80	98.00	78.40	
Associate GIS Specialist	1.70	95.00	161.50	
Totals	18.00		2,327.90	
Total Labor				2,327.90
Total this Phase:				\$2,327.90

Phase: SGMA SGMA

Labor

	Hours	Rate	Amount	
Senior Engineer	13.50	150.00	2,025.00	
Totals	13.50		2,025.00	
Total Labor				2,025.00
Total this Phase:				\$2,025.00
Total this Invoice				\$4,352.90

UNIQUE_ID		Assessed Amount	Payment Received	Amount Paid
CBWD	1	61.34	4/13/2020	61.34
CBWD	2	5,646.71	5/4/2020	5,646.71
CBWD	3	2,827.61		
CBWD	4	35,035.98	4/30/2020	35,035.98
CBWD	5	6.50		
CBWD	6	34.40	4/13/2020	34.40
CBWD	7	5.19	4/13/2020	5.19
CBWD	8	16.52	4/13/2020	16.52
CBWD	9	625.75	4/22/2020	625.75
CBWD	10	5.96		
CBWD	11	10.60		
CBWD	12	30,479.81	5/27/2020	31,798.96
CBWD	13	41,649.87	5/27/2020	41,649.87
CBWD	14	521.50		
CBWD	15	247.94	5/15/2020	247.94
CBWD	16	34.40	4/13/2020	34.40
CBWD	17	17,315.30	6/15/2020	17,315.30
CBWD	18	8.79	4/13/2020	8.79
CBWD	19	390.44	4/30/2020	390.44
CBWD	20	4.92	8/12/2020	4.92
CBWD	21	37.35	4/13/2020	37.35
CBWD	23	8.94		
CBWD	24	36.39		
CBWD	25	236.78	4/30/2020	236.78
CBWD	26	52.77		
CBWD	28	424.61	4/22/2020	424.61
CBWD	29	154.57		
CBWD	30	266.14	8/12/2020	266.14
CBWD	31	14.57	4/22/2020	14.57
CBWD	32	17.20	4/13/2020	17.20
CBWD	33	8.60		
CBWD	34	12,310.64	4/30/2020	12,310.64
CBWD	35	19.29		
CBWD	36	2.06		
CBWD	37	120.40	4/13/2020	120.40
CBWD	38	37.07		
CBWD	39	4.89	4/22/2020	4.89
CBWD	40	8.68		
CBWD	41	17.20		
CBWD	42	275.20		
CBWD	43	1.03		
CBWD	44	8.79		
CBWD	45	17.03	4/22/2020	17.03
CBWD	46	460.68	4/13/2020	460.68
CBWD	47	404.75	4/13/2020	404.75

 Assessment Less than \$15

UNIQUE_ID		Assessed Amount	Payment Received	Amount Paid
CBWD	48	276.42	4/13/2020	276.42
CBWD	49	46.64	5/15/2020	46.64
CBWD	50	17.20	4/13/2020	17.20
CBWD	51	30.82		
CBWD	52	321.09		
CBWD	53	16.19	5/20/2020	16.19
CBWD	54	34.40	4/13/2020	34.30
CBWD	55	240.80	5/20/2020	240.80
CBWD	56	14.48		
CBWD	57	123.73		
CBWD	58	34.40	7/22/2020	34.40
CBWD	59	1,001.40	4/30/2020	1,001.40
CBWD	60	50.86	4/13/2020	50.86
CBWD	61	15.72	4/22/2020	15.72
CBWD	62	21.78	4/22/2020	21.78
CBWD	63	17.20	9/8/2020	17.20
CBWD	64	18.21		
CBWD	65	1,106.08	7/22/2020	1,106.08
CBWD	66	392.39	7/22/2020	392.39
CBWD	67	31.76	4/13/2020	31.76
CBWD	68	103.20	4/13/2020	103.20
CBWD	69	128.57	7/22/2020	128.57
CBWD	70	2.74		
CBWD	71	4.95		
CBWD	72	3.56		
CBWD	73	3.75		
CBWD	74	1,827.36		
CBWD	75	6.43	4/30/2020	6.43
CBWD	76	588.75	9/8/2020	588.75
CBWD	77	132.29		
CBWD	78	3,026.01		
CBWD	79	12.38		
CBWD	80	22.13	4/30/2020	22.13
CBWD	81	457.11		
CBWD	82	10.75		
CBWD	83	17.08	4/22/2020	17.08
CBWD	84	5,099.94	4/30/2020	5,099.94
CBWD	85	51.60		
CBWD	86	6.94		
CBWD	87	4.30	4/22/2020	4.30
CBWD	88	32.77	5/4/2020	32.77
CBWD	90	0.87		
CBWD	91	32.77		
CBWD	92	42.91		
CBWD	93	225.36		

UNIQUE_ID		Assessed Amount	Payment Received	Amount Paid
CBWD	94	8.80		
CBWD	95	66.14	6/30/2020	66.14
CBWD	96	145.95		
CBWD	97	7,681.30		
CBWD	98	14,476.74	4/30/2020	14,476.74
CBWD	99	3,411.01	5/27/2020	3,411.01
CBWD	100	8.73		
CBWD	101	420.89		
CBWD	102	7.61		
CBWD	103	157.83	4/22/2020	157.83
CBWD	104	609.38	4/22/2020	609.38
CBWD	105	2.57		
CBWD	106	12.38	4/13/2020	12.38
CBWD	107	154.80	4/13/2020	154.80
CBWD	108	51.60	4/13/2020	51.60
CBWD	109	31.90	4/13/2020	31.90
CBWD	110	8.79		
CBWD	111	2,547.89		
CBWD	112	499.99		
CBWD	113	137.53		
CBWD	114	8.75	4/13/2020	8.75
CBWD	115	7.13	4/22/2020	7.13
CBWD	116	17.20		
CBWD	117	2.35		
CBWD	118	10.54	4/13/2020	10.54
CBWD	119	17.20		
CBWD	120	31.67	6/12/2020	31.67
CBWD	121	63.16		
CBWD	122	4.30		
CBWD	123	17.02		
CBWD	124	25.88		
CBWD	125	17.73	4/22/2020	17.73
CBWD	126	327.82	6/12/2020	327.82
CBWD	127	0.98		
CBWD	128	412.60	6/12/2020	412.60
CBWD	129	149.73	6/12/2020	149.73
CBWD	130	8.60		
CBWD	131	17.59	4/13/2020	17.59
CBWD	132	44.52	4/13/2020	44.52
CBWD	133	69.27	4/22/2020	69.27
CBWD	134	815.66		
CBWD	135	17.20	4/13/2020	17.20
CBWD	136	130.59	4/22/2020	43.00
CBWD	137	13.75		
CBWD	138	585.39	6/12/2020	585.39

2020 Assessment Payment Summary
Through (9/18/2020)

UNIQUE_ID	Assessed Amount	Payment Received	Amount Paid
CBWD 139	43.00	4/13/2020	43.00
CBWD 140	10.13		
CBWD 141	1.80		
CBWD 142	249.42	4/13/2020	249.42
CBWD 143	134.46	4/22/2020	134.46
CBWD 144	67.94	4/13/2020	67.94
CBWD 145	17.20	4/13/2020	17.20
CBWD 146	104.82		
CBWD 147	5,528.18	4/30/2020	5,528.18
CBWD 148	4,921.48	4/30/2020	4,921.48
CBWD 149	85.23	4/22/2020	85.23
CBWD 150	52.78	4/13/2020	52.78
CBWD 151	34.23		
CBWD 152	2.63		
CBWD 153	334.92		
CBWD 154	17.20	4/13/2020	17.20
CBWD 155	2,565.96		
CBWD 156	4.95		
CBWD 157	23.65	4/22/2020	23.65
CBWD 158	12.99		
CBWD 159	34.40	5/4/2020	34.40
CBWD 160	17.20		
CBWD 161	1,505.43	6/12/2020	1,505.43
CBWD 162	8.25		
CBWD 163	3.87		
CBWD 164	1.15		
CBWD 165	4.30		
CBWD 166	244.27		
CBWD 167	465.98		
CBWD 168	8.61		
CBWD 169	2,032.66	4/22/2020	2,032.66
CBWD 170	8.22	4/22/2020	8.22
CBWD 171	624.46	4/30/2020	624.46

Dollars Received 192,558.29
88%

Payments Received 79
67%

2020 Assessment Payment Summary
Through (9/18/2020)

UNIQUE_ID		Assessed Amount	Payment Received	Amount Paid
CBWD	3	2,827.61		
CBWD	78	3,026.01		
CBWD	97	7,681.30		
CBWD	111	2,547.89		
CBWD	155	2,565.96		

18,648.77

9% Total Budget



To: Cuyama Basin Water District Board of Directors
From: Matt Klinchuch, Manager
Date: September 23, 2020
Subject: Agenda Item #9c: GSA Task Delegation Considerations

Dear Directors:

Coming out of the July Board Meeting, the Board directed staff to draft a letter laying out various considerations the District has in relation to the delegated tasks from the Cuyama Basin GSA from the June 25, 2020 meeting. Following the August Board Meeting, the consensus was that the draft letter was acceptable with minor additional language related to the features to utilize for the “Operational” Management Area boundaries. As a reminder, the GSA has requested response from the District by September 30.

Since the last meeting EKI has provided a map comparing different alternatives for an “Operational” Management Area boundary that uses surface features for delineation instead of the modeled boundary. Three alternatives are shown:

- A Minimum boundary based on parcels entirely encompassed by the modeled boundary
- A Maximum boundary based on any parcel touched by the modeled boundary
- An Intermediate boundary striving to match the modeled boundary by following surface features.

Attached for your consideration is the updated draft response letter and a draft map of potential “Operational” Management Area alternatives.



September ##, 2020

Cuyama Basin Groundwater Sustainability Agency
Attn: Jim Beck, Executive Director
4900 California Avenue, Tower B, Second Floor
Bakersfield, California, 93309

Subject: Cuyama Basin Water District Response to GSA Task Delegation

Dear Mr. Beck:

On June 25, 2020, the Cuyama Basin Groundwater Sustainability Agency (**GSA**) approved delegation tasks numbers 1 and 2 for management area delegation within the Central Basin Management Area to the Cuyama Basin Water District (**District**) as described in the Agenda item 6b of the GSA Board Packet. The tasks are listed below.

1. *Implementation of Pumping Allocations in Central Basin Management Area*
2. *Development of Water Budgets for Individual Landowners in Central Basin Management Area*

By process through the Delegation Agreement (Dec. 2019), now that the GSA has approved tasks to delegate, the District must (i) review and approve assumption of these tasks and, (ii) if the District elects to so assume, demonstrate there is some financial benefit to both the GSA and District (Article I and Article III). This letter serves as an acknowledgement of receipt of the two tasks delegated by the GSA to the District. However, the District believes additional clarification, direction, and discussion is needed from the GSA prior to assuming responsibility of these tasks.

Some of these considerations are laid out below. The District offers its staff to work with the GSA staff to work through various items ahead of going to Board and/or Ad Hoc meetings.

Process Issues

With this being the first time exercising the Delegation Agreement, the District suggests there being more upfront agreement or approval on the process between the GSA and District ahead of the District assuming the delegated tasks. Some key considerations include, but are not limited to, the following:

- Process – What are the different processes needed to be in place related to timing and reporting?
- Financial – What is the process for setting and evaluating financial benefit? Does reimbursement include the development of the scope and budget?

Management Area Clarification

The current delegated tasks are focused on the Central Basin Management Area. The District requests more definition on what the Central Basin Management Area includes. The current shape of the Management Area is based on a contour or polygon indicating 2 feet per year of drawdown, based on the numeric groundwater model. This resulting shape includes varying portions of parcels around its edges. What direction can the GSA provide for including/excluding these parcels with regard to future groundwater management, and is the GSA amenable to the use of existing public ~~and~~ private roads or other identifiable landmarks or boundaries (selected to approximate the contour) as an easily field-verifiable operational boundary?

Technical Considerations

There are several technical considerations the District requests to have agreement on from the GSA prior to assuming the delegated tasks. Some of these considerations are listed below.

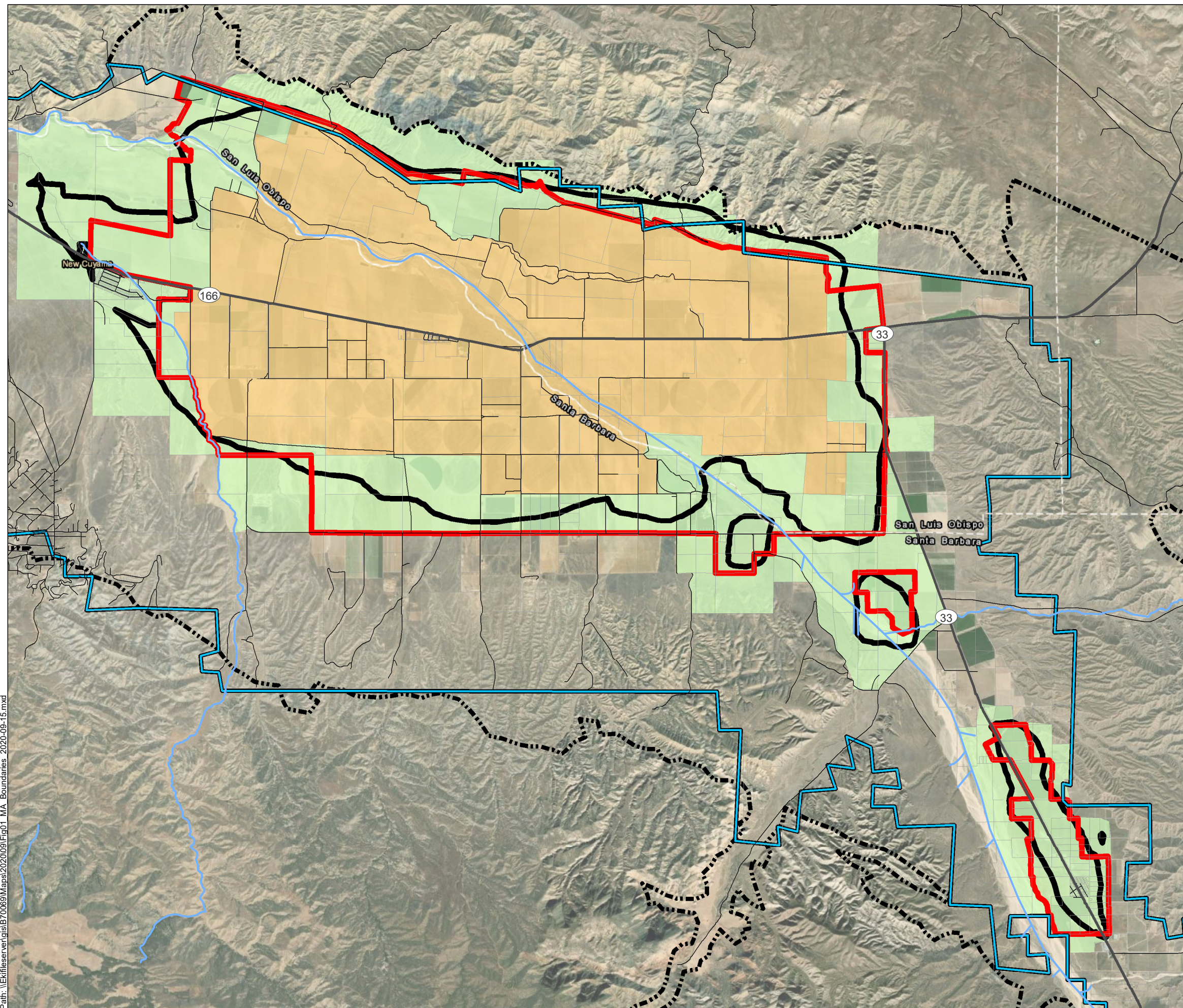
- Pumping Allocations
 - The GSA is still refining estimates of baseline pumping quantities through the numeric groundwater model, as well as the pumping fees, and additional iterations may be required as more data are developed. It therefore may be too early to delegate the Pumping Allocations task since the GSA still needs to establish a baseline for the District to develop and plan pumping reductions.
 - Will the GSA determine how the pumping allocation is distributed within the Management Area? Does the GSA intend for it to be based on acreage, number and location of wells, or other metrics, or is that a matter for the District to determine?
 - Does the GSA have a preference regarding the method used to quantify and verify pumping, or is that up to the District to decide?
- Individual Water Budgets
 - What does the GSA mean by “water budget” in this context? A traditional water budget (accounting for items such as groundwater inflow/outflow, soil moisture fluxes, and localized stream losses/gains, etc.) would be difficult to estimate for parcels or individuals. Without significant additional data and modeling, such an approach likely would not meet the goal for the cost, and uncertainty in the results would remain very high. Additional issues could arise regarding allocation of localized water fluxes which represent significant resources for the entire basin. A simpler and easily quantified field-level “water use computation” may be more useful for individual landowner planning efforts and could be accomplished at considerably less cost.
 - What is the GSA’s intended goal for developing individual water budgets? Are the resulting estimates intended to be used as a planning tool by the landowners, or would they mainly be a management tool for the GSA?

The District appreciates the GSA's consideration on these Delegation items.

Thank you,

Matt Klinchuch, PE
Cuyama Basin Water District
Manager
1800 30th Street, Suite 280
Bakersfield, CA 93301
Office: (661) 616-5900

Path: \\Eki\server\gis\B70069\Maps\2020\09\Fig01 MA Boundaries 2020-09-15.mxd



Legend

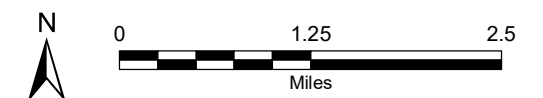
- Stream
- Major Road
- Street
- Parcel Boundaries
- Cuyama Basin Water District
- Cuyama Basin
- Management Areas (from GSP)
- Operational Management Area Boundary (Intermediate)
- Operational Management Area Boundary (Minimum)
- Operational Management Area Boundary (Maximum)

Notes

1. All locations are approximate.
2. Proposed Management Area boundaries shown are simplified polygons based on the 2-ft per year future drawdown contour as derived from Basin model output (see map Legend, above).

Sources

1. Service Layer Credits: Esri, HERE, Garmin, (c) OpenStreetMap contributors, and the GIS user community
Source: Esri, DigitalGlobe, GeoEye, Earthstar Geographics,



Simplified "Operational" Management Area Boundaries

DRAFT

eki environment
& water

Cuyama Basin Water District
Cuyama Basin, CA
September 2020
EKI B70069.01

Figure 1

18 September 2020

MEMORANDUM

To: Matt Klinchuch, PE, Cuyama Basin Water District (CBWD)
Derek Yurosek, CBWD

From: Anona Dutton, PG, CHg, EKI Environment & Water, Inc. (EKI)
Jeff Shaw, PG, CHg, EKI

Subject: Evaluation of Proposed Projects Relative to Criteria in
Proposition 68 Sustainable Groundwater Management Implementation Grants
Draft Proposal Solicitation Package (Draft PSP)
EKI B70069.01

The information presented below is based upon review of the attached California Department of Water Resources (DWR) document *Draft Proposition 68 Sustainable Groundwater Management Implementation Grants Proposal Solicitation Package* (Draft PSP), dated August 2020. The final PSP is expected in December 2020, with Round 1 of the Grant Solicitation Process closing in January 2021.

Below, for your information, are some of the critical criteria and information required for any project included in a grant application pursued by the Cuyama Basin GSA. This information could be used to inform project ranking and selection for inclusion in a Basin-wide grant application.

Please note that each basin may submit only one grant application, but the Cuyama Basin Water District may wish to consider proposing projects important to the District for inclusion in the GSA's grant application. References in *italicized font* indicate sections of the Draft PSP that provide additional information.

ELIGIBILITY CRITERIA

Minimum Eligibility Criteria (see *Draft PSP pages 7 through 9*)

- Must be a critically over-drafted basin.
- Adopted GSP has been submitted to DWR and is deemed complete.
- One (1) Grant Application per basin.
- Proposed project must be listed in the GSP.
- Minimum cost share of 25 percent, unless reduced via waiver for certain communities.

Eligible Project Types (see *Draft PSP pages 10 and 11*)

Eligible project types must be consistent with the purpose of Proposition 68, Chapter 11.6, which include groundwater recharge and conjunctive use projects; projects that address contamination of groundwater that serves as a source of drinking water; and/or projects and programs that support water supply reliability, water conservation, and water use efficiency and water banking, exchange, and reclamation.

Examples of eligible project activities, tasks, and/or components can include, but are not limited to, the following.

1. Groundwater recharge projects with surface water, stormwater, recycled water, and other conjunctive use projects;
2. Groundwater contaminant remediation or prevention projects for groundwater that serves as a source of drinking water;
3. Construction, rehabilitation, or expansion of conveyance facilities for groundwater recharge projects;
4. Wastewater treatment and water recycling facility upgrades for groundwater recharge project sources;
5. Stormwater and runoff capture projects that support groundwater recharge;
6. Groundwater recharge facility expansion;
7. Seawater barrier injection wells;
8. Groundwater recharge projects that address groundwater dependent ecosystems (GDEs); and
9. Projects and programs that support water supply reliability, water conservation, water use efficiency and water banking, exchange, and reclamation.

The project or component(s) must contain multiple benefits (a minimum of two) as well as meet the benefits of multiple planning documents. Multiple benefits can include, but are not limited to, the following:

1. Addresses impacts of current and future droughts and other water shortages
2. Addresses climate change planning (e.g., seawater intrusion, sea-level
3. Community involvement, engagement, and education
4. Decreased flood risk by reducing runoff rate and/or volume into rivers, lakes, or streams
5. Enhanced and/or created recreational and public use areas
6. Environmental protection and improvement
7. Habitat enhancement and/or creation
8. Increased and enhanced the beneficial uses of local water supplies
9. Increase use in recycled water to augment water demand
10. Increased urban green space
11. Nonpoint source pollution control
12. Reduce dependence on imported water
13. Reduce energy use, greenhouse gas emissions, or provide a carbon sink
14. Reduce sanitary sewer overflows
15. Reduce heat island effect

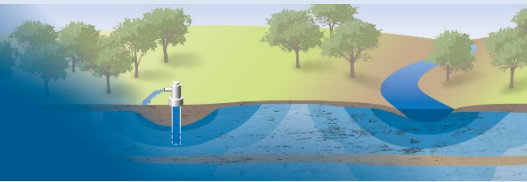
16. Reestablish natural water drainage and treatment
17. Surface water, or dry weather runoff capture and reuse, treatment, and/or infiltration
18. Stream or riparian enhancement and/or instream flow augmentation
19. Upgrade and/or expansion of a wastewater treatment plant(s) to augment local water demand
20. Water conservation
21. Water supply reliability
22. Wetland enhancement and/or creation

REQUIRED PROJECT INFORMATION

The project proponent must provide all of the following information by the end of December 2020 to support the inclusion of the project in the grant application.

- Project Name;
- Implementing Organization;
- Proposed Start Date (For Round 1, must be *after* 1/31/2021);
- Proposed End Date (For Round 1, must be *before* 4/30/2024);
- Scope of Work;
- Project Description;
- Project Objective; and
- Project Cost and Available Local Cost Share, with disadvantaged communities (DAC) or under-represented community (URC) waiver, as appropriate.

Sustainable Groundwater
Management (SGM)
GRANT PROGRAM



Implementation Grants Proposal Solicitation Package



*CALIFORNIA NATURAL RESOURCES AGENCY'S
DEPARTMENT OF WATER RESOURCES -
DIVISION OF REGIONAL ASSISTANCE*



August 2020

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FOREWORD

The California Department of Water Resources (DWR) is administering the Sustainable Groundwater Management (SGM) Grant Program Implementation Grants using funds authorized by the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Act of 2018 (Proposition 68). This document is the Proposal Solicitation Package (PSP) for the implementation of Groundwater Sustainability Plans (GSPs).

This document is not a standalone document and the applicant will need to refer to the 2019 Proposition 68 SGM Guidelines (2019 Guidelines) for additional information. **Potential applicants are highly encouraged to read the 2019 Guidelines, PSP, and grant agreement template prior to deciding to submit an application.** The 2019 Guidelines and the grant agreement template can be found at the following link: www.water.ca.gov/sgmgrants.

A glossary of terms used throughout this PSP are available in Appendix B (Definitions) of the 2019 Guidelines.

GRANT PROGRAM WEBSITE AND OTHER USEFUL LINKS

This document, as well as other pertinent information about the SGM Grant Program, can be found at the following link: www.water.ca.gov/sgmgrants.

Other useful links are identified below.

Sustainable Groundwater Management Act (SGMA):

https://leginfo.legislature.ca.gov/faces/codes_displayexpandedbranch.xhtml?tocCode=WAT&division=6.&title=&part=2.74.&chapter=&article=

GSP Regulations:

[https://govt.westlaw.com/calregs/Browse/Home/California/CaliforniaCodeofRegulations?guid=I74F39D13C76F497DB40E93C75FC716AA&originationContext=documenttoc&transitionType=Default&contextD ata=\(sc.Default\)%20](https://govt.westlaw.com/calregs/Browse/Home/California/CaliforniaCodeofRegulations?guid=I74F39D13C76F497DB40E93C75FC716AA&originationContext=documenttoc&transitionType=Default&contextD ata=(sc.Default)%20)

Sustainable Groundwater Management Act (SGMA) Portal:

<https://sgma.water.ca.gov/portal/#intro>

Disadvantaged Community (DAC) and Economically Distressed Area (EDA) Mapping Tools:

<https://water.ca.gov/Work-With-Us/Grants-And-Loans/Mapping-Tools>

CalEnviroScreen 3.0:

<https://oehha.ca.gov/calenviroscreen/report/calenviroscreen-30>

DWR Grants Review and Tracking System (GRanTS) Application Tool:

[https://grants.water.ca.gov/\(S\(32xlt40dih11py02ubbquksu\)\)/Login/log-in.aspx](https://grants.water.ca.gov/(S(32xlt40dih11py02ubbquksu))/Login/log-in.aspx)

E-MAIL LIST

In addition to the website, DWR will distribute information via e-mail. If you are not already on the SGM e-mail list, please use the following link to be added to the list:

<https://water.ca.gov/Work-With-Us/Grants-And-Loans/Sustainable-Groundwater> and click the "Subscribe" button on the right side of the webpage.

CONTACT INFORMATION

For questions about this document, or other technical issues regarding SGM Grant Program, please contact DWR's Division of Regional Assistance at (916) 651-9613 or by e-mail at:

SGWP@water.ca.gov.

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ACRONYMS AND ABBREVIATIONS USED IN THIS PROPOSAL SOLICITATION PACKAGE

COD	Critically Overdrafted (Basin)
DAC	Disadvantaged Community
DWR	Department of Water Resources
EDA	Economically Distressed Area
EnvDAC	Environmentally Disadvantaged Community
FAB	Financial Assistance Branch
FE-SWRP	Functionally Equivalent Stormwater Resource Plan
GB	Gigabyte
GIS	Geographic Information System
GRanTS	Grants Review and Tracking System
GSA	Groundwater Sustainability Agency
GSP	Groundwater Sustainability Plan
IRWM	Integrated Regional Water Management
MHI	Median Household Income
OEHHA	Office of Environmental Health Hazard Assessment
PSP	Proposal Solicitation Package
SB	Senate Bill
SDAC	Severely Disadvantaged Community
SGM	Sustainable Groundwater Management
SGMA	Sustainable Groundwater Management Act
SWRP	Stormwater Resource Plan
URC	Underrepresented Community

I. INTRODUCTION

DWR is administering the Sustainable Groundwater Management (SGM) Grant Program's Implementation grant solicitations using funds authorized by Proposition 68 for projects that address drought and groundwater challenges to achieve regional sustainability for investments in groundwater recharge projects with surface water, stormwater, recycled water, and other conjunctive use projects; and/or projects that prevent or clean up contamination of groundwater that serves as a source of drinking water (Public Resources Code § 80146(a)). This PSP contains specific information regarding the process, eligibility, and required content for grant applications for the Proposition 68 grant funds for the Round 1 and Round 2 Implementation grant solicitations. DWR also issued the 2019 Guidelines that will be used to administer the grant solicitation and provide general information regarding program and eligibility requirements.

SGMA was signed into law in 2014 and amended the Water Code sections 10720-10737.8, inclusive. SGMA provides the framework for sustainable groundwater management planning and implementation. SGMA fosters sustainable groundwater management in California's designated high- and medium-priority groundwater basins or subbasins, hereinafter referred to as basins, by requiring local public agencies and Groundwater Sustainability Agencies (GSAs) to develop and implement GSPs or alternatives to GSPs (Alternative). The regulations for the evaluation of GSPs and Alternatives, the implementation of GSPs and Alternatives, and coordination agreements between GSAs and/or stakeholders are hereinafter referred to as the GSP Regulations. The GSP Regulations were approved by the California Water Commission on May 18, 2016, and are codified in the California Code of Regulations, title 23, sections 350-358.4, inclusive. The SGMA text and GSP Regulations can be found at the links listed in the Foreword.

II. FUNDING

Proposition 68 authorizes the Legislature to appropriate a total of \$240 million to DWR for drought and groundwater investments to achieve regional sustainability. Of this, at least \$120 million is for drought and groundwater investments to achieve regional sustainability through investments in groundwater recharge with surface water, stormwater, recycled water, and other conjunctive use projects, and projects to prevent or clean up contamination of groundwater that serves as a source of drinking water (Public Resources Code § 80146(a)). After program delivery and bond issuance costs, at least \$103 million will be made available for grant awards broken up into two rounds of grant solicitations. Round 1 will include \$26 million for grant awards to critically overdrafted (COD) basins only and Round 2 will include at least \$62 million for grant awards and is open to all medium and high priority basins, including COD basins, which meet the eligibility requirements listed in Section III. Approximately \$15 million (in addition to \$62 million) will be available in Round 2 for projects that solely benefit and are located within Underrepresented Communities (URCs) that meet the eligibility requirements listed in Section III.

DWR will solicit proposals to award funding on a competitive basis for tasks and activities that help the basins reach sustainability through investments in groundwater recharge and/or projects that prevent or clean up contamination of a groundwater that serves as a source of drinking water. Eligible project types and eligible tasks are described further in Section III.B.

The minimum and maximum grant award amounts are:

Minimum Grant Amount – \$2 million per basin
Maximum Grant Amount – \$5 million per basin

IMPLEMENTATION – ROUND 1

- \$21 million for COD basins only that meet the eligibility requirements outlined in the 2019 Guidelines and those in Section III of the PSP.

- \$5 million for Projects that solely benefit a URC(s) within a COD basin, address the needs of those communities as outlined the 2020 Disadvantaged Community Involvement (DACI) Needs Assessment Report, have a minimum of five letters of support from the community, and meet the requirements outlined within the Public Resources Code section 80146(a).

IMPLEMENTATION – ROUND 2

- At least \$62 million for medium and high priority basins that meet the eligibility requirements outlined in the 2019 Guidelines and those in Section III of the PSP.
- At least \$15 million for projects that solely benefit and are located within an URC, address the needs of those communities as outlined in the 2020 DACI Needs Assessment Report, have a minimum of five letters of support from the community, and meet the requirements outlined within the Public Resources Code section 80146(a).

A. Local Cost Share

A minimum match of 25 percent (%) of the project cost as local cost share is required. Project expenses must be incurred as listed below:

- Round 1 COD Basins: after January 31, 2020, the due date for the COD basin GSPs
- Round 2: after January 31, 2022, the due date for medium and high priority basin GSPs and projects that solely benefit and are located within an URC

The local cost share cannot have contributed to the cost share of another grant awarded project, to be considered as local cost share. Local cost share must meet the conditions outlined in Section II.B. of the Guidelines and the definitions of “local cost share” contained in Appendix B of the 2019 Guidelines. The local cost share requirement for projects benefiting a severely disadvantaged community (SDAC), DAC, EDA, Tribes, and more (collectively referred to as URC) may be waived or reduced as shown in Table 1 below. For definitions of SDAC, DAC, EDA, and Tribes, see Appendix B of the 2019 Guidelines.

SDAC, DAC, EDA, Tribes, and more, described below, will collectively be referred to as an URC within the Implementation PSP.

An URC is defined as:

A DAC, SDAC, and EDA (as defined in the 2019 Guidelines); Tribal Lands/Tribes (as defined in the 2019 Guidelines); California Communities Environmental Health Screening Tool (CalEnviroScreen 3.0) Classified DACs (Environmentally Disadvantaged Communities or EnvDACs)*; and Fringe Communities**.

*CalEPA (through the Office of Environmental Health Hazard Assessment (OEHHA)) developed a mapping tool that assesses environmental, health, and socioeconomic indicators to produce a score for each census tract in the State. A link to this tool can be found in the Foreword. Each tract receives a Pollution Burden scores (Exposures and Environmental Effects) and a Population Characteristics score (Sensitive Populations and Socioeconomic Factors). The average Pollution Burden and Population Characteristics scores are multiplied together to produce an overall CalEnviroScreen score. CalEPA designates the top 25% scoring census tracts as EnvDACs. Census tracts that score the highest 5% of Pollution Burden scores, but do not have an overall CalEnviroScreen score because of unreliable socioeconomic or health data, are also designated as DACs (found to be 22 census tracts in the 2018 CalEnviroScreen version 3.0).

**A Fringe Community are those communities that do not meet the established DAC, SDAC, and EDA definitions, but can show that they score in the top 25% of either the Pollution Burden or Population Characteristics score.

DWR will use the information presented in the applications to evaluate whether the project provides benefit to an URC to determine whether the required cost share is waived or reduced. The required local cost share percent and the cost share waiver granted, if any, will be identified in the grant award

notification letter to the Grantee if the application is awarded. Additional information will be requested in the grant award notification letter if DWR cannot determine the eligible cost share waiver based upon the information provided in the application. The final determination of the cost share waiver for those that must submit additional information will be documented prior to executing a grant agreement.

Based on the application material submitted, the Chief of DWR's Financial Assistance Branch (FAB) will determine the appropriate local cost share reduction based on the table below. Local cost share requirement is determined for the grant as a whole regardless of the number of individual components proposed. The Chief's decision in this matter is final.

TABLE 1 – ELIGIBILITY FOR COST SHARE WAIVER

0% Local Cost Share:	The Project is located within an URC and solely benefits the URC
5% Local Cost Share:	The Project is not located within an URC, but solely benefits the URC
15% Local Cost Share:	The Project is not located within an URC, but a minimum of 50% of the project benefits the URC
25% Local Cost Share:	The Project is not located within an URC and does not benefit an URC

NOTE: The minimum local cost share is calculated based upon the total project cost. For this program, the total project cost is the grant funds plus the local cost share amounts. To calculate the local cost share percent: local cost share/ (grant funds + local project cost).

B. Eligible Costs and Payment

Eligible reimbursable costs are those that were incurred by the Grantees after the grant execution date, meet the conditions of the "Eligible Costs" as outlined in Section III., and defined as "reimbursable costs" in Appendix B of the 2019 Guidelines. DWR's standard method of payment is reimbursement in arrears. Funds are disbursed after DWR approves the submittal of the DWR invoice form and required backup documentation by the Grantee. Grantees shall invoice and report on a quarterly basis only. Additionally, DWR reserves the right to withdraw awarded funds due to lack of responsiveness on the part of the Grantee in submitting quarterly invoices and reporting and associated deliverables.

The standard method of reimbursement is called the Cost Share Drawdown, in which the Grantee must report all required local cost share funds for a budget category **before** reimbursement will be processed. Conversely, the Concurrent Drawdown method, in which the Grantee can request reimbursement and report local cost share funds, can be approved if the Grantee is a nonprofit organization representing an URC or if the Grantee can demonstrate a significant cash-flow need. See the 2019 Guidelines Appendix B for more information on reimbursement methods. The Chief of FAB will have the final determination on whether a Grantee has demonstrated a significant cash-flow need. Costs associated with the development of the GSA and costs associated with the development and submittal of a grant application are not eligible.

III. ELIGIBILITY

Applications for the Implementation Grant Solicitations must meet all applicable eligibility criteria to be considered for grant funding as described in the 2019 Guidelines, Section III. Additional eligibility requirements are described below and identified in Question 5 in Table 4 – Grant Application Checklist, of this PSP. A comprehensive eligibility checklist is provided in Table 3 – SGM Implementation Grant Eligibility Checklist, as a reference for applicants.

A. Eligible Applicants

1. Round 1 Implementation Grant Solicitation

Eligible applicants for the Round 1 Implementation Grant Solicitation are GSAs or member agencies of the GSAs within a COD basin that have an adopted GSP that has been submitted to DWR for review and has been deemed complete by DWR (posted to the SGMA Portal by DWR). Basins that are considered probationary under SGMA by the State Water Resources Control Board (State Water Board) at or after the time of application submittal **are not** eligible to apply for or receive grant funding. The project area and service area must be within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that is designated as COD by the latest SGMA Basin Prioritization at the time of application submittal. Go to the SGMA website link provided in the Foreword for additional information on Bulletin 118, Basin Prioritization and CODs.

Only one application will be accepted per basin.

Applicants are encouraged to work with the stakeholder(s) and other non-member agency(-ies) of the GSA(s) in their basin(s) (e.g., resource conservation districts, nonprofit organizations, Tribes, etc.) that have potential activities, tasks, and/or components that are complimentary to the overall grant application and proposed Project. These activities, tasks, and/or components should be included within the proposed application with the GSA, member agency(-ies) of a GSA, or approved Alternative as the applicant and potential Grantee. The stakeholder(s) and/or non-member agency(-ies) would be listed as a cooperating entity. Project proponents would access grant funding through their relationship with the grant applicant, at DWR's discretion. DWR strongly recommends working with all potential stakeholders within the basin(s) to ensure successful implementation of the GSP or approved Alternative.

The grant applicant is the agency submitting the application (e.g., GSA) on behalf of the basin(s). The grant applicant is also the same agency that would enter into an agreement with the state should the application be successful. If there is more than one eligible agency within a basin, an eligible agency may be part of the proposals as a cooperating entity but must identify a single entity that will act as the grant applicant and submit a basin-wide application and receive the grant on behalf of the basin.

2. Round 2 Implementation Grant Solicitation

Eligible applicants for the Round 2 Implementation Grant Solicitation are GSAs, member agencies of the GSAs, or member agencies with an approved Alternative within a medium or high priority basin(s), including COD basins. The GSAs or member agencies of a GSA must have an adopted GSP that has been submitted to DWR for review and has been deemed complete by DWR. Basins that are considered probationary under SGMA by the State Water Board at or after the time of application submittal **are not** eligible to apply for or receive grant funding. The project area and service area must be within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that are designated as medium- or high-priority by the latest SGMA Basin Prioritization. Please check the SGMA link provided in the Foreword for additional information on Bulletin 118, Basin Prioritization and CODs.

Only one application will be accepted per basin.

Applicants are encouraged to work with the stakeholder(s) and other non-member agency(-ies) of the GSA(s) in their basin(s) (e.g., resource conservation districts, nonprofit organizations, Tribes, etc.) that have potential activities, tasks, and/or components that are complimentary to the overall grant application and proposed Project. These activities, tasks, and/or components should be included within the proposed application with the GSA, member agency(-ies) of a GSA, or approved Alternative as the applicant and potential Grantee. The stakeholder(s) and/or non-member agency(-ies) would be listed as a cooperating entity. Project proponents would access grant funding through their relationship with the grant applicant, at DWR's discretion. DWR strongly recommends working with all potential stakeholders within the basin(s) to ensure successful implementation of the GSP or approved Alternative.

The grant applicant is the agency submitting the application (e.g., GSA) on behalf of the basin(s). The grant applicant is also the same agency that would enter into an agreement with the state should the application be successful. If there is more than one eligible agency within a basin, an eligible agency may be part of the proposals as a cooperating entity but must identify a single entity that will act as the grant applicant and submit a basin-wide application and receive the grant on behalf of the basin.

B. Eligible Project Types

Eligible project types for the SGM Implementation Grants must be consistent with the purpose of Proposition 68, Chapter 11.6, which include activities and/or tasks that consist of the development of groundwater recharge projects with surface water, stormwater, recycled water, and other conjunctive use projects; and/or projects that prevent or clean up contamination of groundwater that serves as a source of drinking water (Public Resources Code § 80146(a)). Other eligible project types are projects and programs that support water supply reliability, water conservation, and water use efficiency and water banking, exchange, and reclamation.

Eligible projects include those activities associated with the implementation of an adopted GSP or approved Alternative and must also be listed within an adopted GSP or approved Alternative. Activities within the proposed project should also be consistent with the SGMA Guidance Documents located here: <https://water.ca.gov/Programs/Groundwater-Management/SGMA-Groundwater-Management/Best-Management-Practices-and-Guidance-Documents>.

Projects that are in basins determined to be probationary under SGMA by the State Water Board at or after the time of **are not** eligible for this grant program. The project area and service area must be within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that are designated by DWR as medium or high priority basins, including COD basins, by the latest SGMA Basin Prioritization.

The use of the term “project” refers to the activities and/or tasks related to the implementation of a GSP and can include multiple components and/or tasks. A proposal, or project for purposes of this PSP, refers to all the supporting documentation submitted that details the actions that are proposed for the funding. The application will describe a single proposal/project; however, each application may contain multiple components and tasks that collectively makeup a single proposal/project. See the 2019 Guidelines, Appendix B for further definitions of components and project.

The Project or Component(s) must contain a minimum of two multiple benefits and should meet benefits of multiple planning documents (e.g., Stormwater Resource Plans (SWRP), Integrated Regional Water Management (IRWM) Plans, Draft Water Resiliency Portfolio, etc.) in addition to meeting the sustainability goals within the adopted GSP or approved Alternative. Multiple benefits can include, but are not limited to:

- Addresses impacts of current and future droughts and other water shortages
- Addresses climate change planning (e.g., seawater intrusion, sea-level rise, etc.)
- Community involvement, engagement, and education
- Decreased flood risk by reducing runoff rate and/or volume into rivers, lakes, or streams
- Enhanced and/or created recreational and public use areas
- Environmental protection and improvement
- Habitat enhancement and/or creation
- Increased and enhanced the beneficial uses of local water supplies
- Increase use in recycled water to augment water demand
- Increased urban green space
- Nonpoint source pollution control
- Reduce dependence on imported water
- Reduce energy use, greenhouse gas emissions, or provide a carbon sink
- Reduce sanitary sewer overflows
- Reduce heat island effect
- Reestablish natural water drainage and treatment
- Surface water, or dry weather runoff capture and reuse, treatment, and/or infiltration

- Stream or riparian enhancement and/or instream flow augmentation
- Upgrade and/or expansion of a wastewater treatment plant(s) to augment local water demand
- Water conservation
- Water supply reliability
- Wetland enhancement and/or creation

In Attachment 3 – Work Plan, the applicants must provide information to demonstrate eligibility and provide assurances that the work described in the proposed project is not duplicative with any other previous grant funded project.

Examples of eligible project activities, tasks, and/or components can include, but are not limited to, the following:

- Groundwater recharge projects with surface water, stormwater, recycled water, and other conjunctive use projects
- Groundwater contaminant remediation or prevention projects for groundwater that serves as a source of drinking water
- Construction, rehabilitation, or expansion of conveyance facilities for groundwater recharge projects
- Wastewater treatment and water recycling facility upgrades for groundwater recharge project sources
- Stormwater and runoff capture projects that support groundwater recharge
- Groundwater recharge facility expansion
- Seawater barrier injection wells
- Groundwater recharge projects that address groundwater dependent ecosystems (GDEs)
- Projects and programs that support water supply reliability, water conservation, water use efficiency and water banking, exchange, and reclamation

Examples of ineligible project activities, tasks, and/or components can include, but are not limited to, the following:

- Gifts of public funds to a private person or entity (e.g., gift certificates and other incentives to attend public meetings, complete surveys, etc.)
- Low-flow and/or high density appliances
- Rebate programs
- Travel expenses, other than mileage
- Food and drink
- Per diem expenses
- Overhead/Indirect/Markup – for Grantees, consultants/contractors, or their subs.

NOTE: Senate Bill 985 (SB 985) (Water Code § 10562(b)(7)) requires that all projects that include stormwater and dry weather runoff capture be listed in a SWRP or Functionally Equivalent SWRP (FE-SWRP) and the SWRP or FE-SWRP must be incorporated into an adopted Integrated Regional Water Management Plan (IRWM) for the region the project is located. The State Water Board defines stormwater as “the temporary surface water runoff and drainage generated by immediately preceding storms” and defines stormwater and dry weather runoff capture as “to intercept, store, manage, and use stormwater and dry weather runoff, thereby reducing the volume of runoff exiting a site”. All proposals using stormwater runoff, dry weather runoff, and peak flows should review SB 985 and the State Water Board’s SWRP Guidelines.

IV. SOLICITATION PROCESS AND SCHEDULE

The solicitation periods for Round 1 and Round 2 Implementation are listed in the table below. These dates are estimated and are subject to change. Any change or update to the schedule will be posted on the SGM Grant Program website. Updates may also be sent through email announcements. To be placed on the SGM Grant Program email contact list, please use the link listed in the Foreword.

TABLE 2 – SCHEDULE FOR SGM IMPLEMENTATION – ROUNDS 1 AND 2 GRANT SOLICITATION

Milestone or Activity	Tentative Schedule ²
Round 1 Schedule	
Final 2020 PSP posted to public	December 2020
Round 1 Grant Solicitation Opens	December 2020
Application Workshop	January 2021
Round 1 Grant Solicitation Closes	January 2021
Public Review of Draft Funding List	March 2021
Final Awards	May 2021
Round 2 Schedule	
Round 2 Grant Solicitation Opens	Spring 2022
Public Review of Draft Funding List	Summer 2022
Final Awards	Fall 2022

² Dates are subject to change and will be determined based on number of comments received for the draft document, number of applications received, amount of funds requested, and number of grant awards given.

An applicant workshop will be conducted to address questions and to provide general assistance to potential applicants preparing grant applications. Details of the workshop will be provided via the SGM website, email distribution list, and/or news release. In addition to the informational workshop, applicants are encouraged to seek assistance from DWR staff in understanding SGM requirements and completing grant applications. Questions can be submitted via the contact information provided in the Foreword on Page 2.

V. APPLICATION INSTRUCTIONS

This section provides instructions for preparing and submitting an application and consists of two subsections: A. What to Submit and B. How to Submit. It is important that applicants follow the Application Instructions to ensure that their application will address all the required elements. Applicants are reminded that once the application has been submitted to DWR, any privacy rights as well as other confidentiality protections afforded by law with respect to the application package, will be waived. Prior to beginning the application, applicants should verify that they meet the Eligibility Criteria outlined in the 2019 Guidelines, Section III.C. and in Table 3 below.

TABLE 3 – SGM IMPLEMENTATION GRANT ELIGIBILITY CHECKLIST

Criteria Type	Eligibility Criteria	Additional Details	Place to Provide Information	Criteria Met (Yes, No, or NA¹)
Applicant Eligibility	Is the applicant eligible?	2019 Guidelines & PSP Section III.A.	Attachment 2	
	Agricultural Water Management Compliance Link: https://water.ca.gov/Programs/Water-Use-And-Efficiency	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	California Statewide Groundwater Elevation Monitoring (CASGEM) Compliance Link: https://water.ca.gov/Programs/Groundwater-Management/Groundwater-Elevation-Monitoring--CASGEM . Basin Prioritization information can be found at: https://water.ca.gov/Programs/Groundwater-Management/Basin-Prioritization	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Climate Change Compliance	2019 Guidelines Section III.C.	GRanTS Application	
	Consistency with the Delta Plan	2019 Guidelines Section III.C.	Attachment 2	
Applicant Eligibility (cont.)	Groundwater Management Compliance, SGMA Compliance	2019 Guidelines Section III.C.	Self-Cert, Attachment 2	
	Open and Transparent Water Data	2019 Guidelines Section III.C.	Self-Cert	
	Public Utilities and Mutual Water Companies Compliance	2019 Guidelines Section III.C.	Attachment 2	
	SWRP Compliance SB 985 Link: https://www.waterboards.ca.gov/water_issues/programs/grants_loans/swrp/	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Surface Water Diverter Compliance	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Sustainable Water Use and Demand Reduction Compliance	2019 Guidelines Section III.C.	Self-Cert	
	Urban Water Management Compliance Link: https://water.ca.gov/Programs/Water-Use-And-Efficiency/Urban-Water-Use-Efficiency/Urban-Water-Management-Plans .	2019 Guidelines Section III.C. & PSP Section V.B.	Attachment 2	
	Water Metering Compliance	2019 Guidelines Section III.C.	Self-Cert	
Proposal Eligibility	Only one application per basin OR Applicant is acting as the sole GSA over multiple basins	PSP Section III.A.	NA	
	Does the proposal include design, construction, operation, mitigation, or maintenance of Delta conveyance facilities?	PSP Table 4	GRanTS Application	
	Does the proposal include acquisition of water except for projects that will provide fisheries or ecosystem benefits or improvements that are greater than required current applicable environmental mitigation measures or compliance obligations?	PSP Table 4	GRanTS Application	
	Does the proposal include any share of the costs of remediation recovered from parties responsible for the contamination of a groundwater storage aquifer?	PSP Table 4	GRanTS Application	
	Does the proposal include projects or groundwater planning activities associated with adjudicated groundwater basins?	PSP Table 4	GRanTS Application	
Project Type Eligibility	Does the proposed planning project(s) include the development and/or implementation of a GSP?	2019 Guidelines and PSP Section III.B.	Attachment 3	

	Is the project area and service area within the most current DWR Bulletin 118 basin or a non-adjudicated portion of a basin that are designated by DWR as high or medium priority basins?	2019 Guidelines and PSP Section III.B.	Attachment 3	
	Is the project consistent with Program Preferences?	2019 Guidelines Section V.	NA	
	If the project is a stormwater and/or dry weather runoff capture project, is it included in a SWRP that has been incorporated into an Integrated Regional Water Management (IRWM) plan?	2019 Guidelines Section III.C and PSP Table 4	GGrANTS Application, Attachment 2	
	Does your project provide groundwater recharge; prevent or clean up contamination of groundwater that serves as a source of drinking water; support water supply reliability and water conservation; and supports water use efficiency and water banking, exchange, and reclamation?	PSP Section III. B		

¹ NA = not applicable

A. What to Submit

Applicants must submit a complete SGM Grant Application during the open filing phase as shown in Table 2 – Schedule for SGM Grant Program Implementation Grant Solicitation. The grant application consists of five sections or “Tabs”, as follows:

- Applicant Information Tab
- Projects Tab
- Questions Tab
- Climate Risk in Investments Tab
- Attachments Tab

Additional details regarding the “Tabs” is outlined in Table 4 – Grant Application Checklist, which is provided as a guide for applicants to ensure the required information is submitted for a complete application.

If an applicant applies for multiple project components, the applicant must ensure that the “Tabs” are complete for each of the project components within the grant application. For example, proposals may include separate project components for different URCs within a basin or multiple project components for a single basin within a single application. However, collectively, the project budget must not exceed \$5 million. Each application must have a singular defined project that can be comprised of multiple project components and those components must be related to one another and be coherent.

B. How to Submit

Applicants must submit a complete application online using DWR’s GGrANTS electronic submittal tool, please use the link listed in the Foreword. GGrANTS can only be accessed with Internet Explorer and Google Chrome. The name of these grant solicitations in GGrANTS is “SGM Implementation – Round 1” or “SGM Implementation – Round 2” depending on the grant solicitation in which you are applying. To access the application, applicants must register and have an account in GGrANTS if they have not already done so. The online application will be available no later than the date specified on the Program’s website, according to Table 2 – Schedule for SGM Grant Program Implementation Grant Solicitations.

Applicants are encouraged to watch the “How to Register” and the “How to Complete a Grant Application” videos and review the GGrANTS Public User Guide and Frequently Asked Questions prior to completing the online application. If an applicant has questions as to the content or the information requested in the grant solicitation, or questions or problems with GGrANTS, please refer to the phone number or email listed in the Foreword.

When uploading an attachment in GGrANTS, the following attachment title naming convention must be used: Att#_SGM_AttachmentName_#ofTotal#, where “#ofTotal#” identifies the number of files that make up an attachment, where “#” is the number of a file and “Total#” is the total number of files

submitted in the attachment. This naming convention will be repeated in more detail for each Attachment in the following pages.

File size for each attachment submitted via GRanTS is limited to 2 gigabytes (GB). Breaking documents into sections or chapters so that each are less than 2 GB will aid in uploading files. Acceptable file formats are: PDF, MS Word, MS Excel, or MS Project. However, DWR prefers and highly encourages applicants to use PDF files. All portions of the GRanTS application must be received in the open filing phase. Submittals received outside the open filing phase may not be reviewed or considered for funding. The GRanTS system will allow applicants to resubmit any attachments before the close of the open filing phase. Applicants must notify DWR via SGWP@water.ca.gov when the proposal submittal is ready for DWR's review.

Note: Please provide answers to only the questions listed in Table 4. Do not answer questions that appear on the screen in GRanTS that are not listed below, unless marked with an asterisk ().*

TABLE 4 – GRANT APPLICATION CHECKLIST
APPLICANT INFORMATION TAB <i>The following information is general and applies to the applicant and the overall proposal. Specific project information should be detailed on separate project component tabs provided in the GRanTS application. Applicants must enter all information listed in the Information Tab of this checklist (Table 4) along with any field marked with an asterisk (*).</i>
<u>Organization Name</u>: Provide the name of the Agency/Organization responsible for submitting the application. Should the proposal be successful, this Agency/Organization will be the Grantee.
<u>Point of Contact</u>: Please type the First Name, Last Name, Email Address, Phone Number, Division Name, Address, City, State, and Zip of the Point of Contact person. Should the proposal be successful, this person will be the Point of Contact for the grant agreement.
<u>Point of Contact Position Title</u>: Provide the title of the Point of Contact person. (Maximum Character Limit: 50)
<u>Proposal Name</u>: Provide the title of the proposal. This title cannot be changed for the life of the grant and should NOT include the grant solicitation title anywhere. (Maximum Character Limit: 50)
<u>Proposal Objective</u>: Provide the objective of the proposal. The objective should include the project description, purpose, goals, and targets of the proposed project. The reader should be able to understand what is being proposed (project description), where the project will be located, the purpose/why the project is needed, and how (goals and targets) those needs will be met. (Maximum Character Limit: 2,000)
PROPOSAL BUDGET <i>For the proposal, the following budget items should be taken from Table 6A or 6B – Grant Proposal Summary Budget</i>
<u>Other Contribution</u>: Provide the amount of other funds (such as other State grants, Federal grants, etc.) not included in the categories as listed below. If there is no other contribution, enter zero. Other Contribution costs are not considered part of the total project cost.
<u>Local Contribution (Cost Share)</u>: Provide the local cost share that will be committed to the Project. The SGM requires a minimum local cost share of 25% of the total Project cost (grant funds plus local cost share) unless the Project and/or applicant qualifies for a local cost share Waiver or Reduction.
<u>Federal Contribution</u>: Enter any Federal funds being used. If none, enter zero.
<u>In-Kind Contribution</u>: Leave blank and include all In-Kind Contributions in the Local Contribution above.
<u>Grant Funds Requested</u>: Please provide the amount of total grant funds requested. Amounts must be between \$2,000,000 and \$5,000,000.
<u>Total Proposal Cost</u>: Provide the total proposal cost, in dollars. This amount must agree with the total proposal cost shown in Attachment X – Budget and is the sum of the Local Contribution (Cost Share) and Grant Funds Requested.
GEOGRAPHIC INFORMATION <i>GRanTS requests latitude and longitude in degrees, minutes, and seconds. You may use converters on the web, such as https://www.fcc.gov/media/radio/dms-decimal</i>
<u>Latitude</u>: Enter the latitude at the location that best represents the Project area. This should be taken from the center of the Project site.
<u>Longitude</u>: Enter the longitude at the location that best represents the Project area. This should be taken from the center of the Project site.
<u>Longitude/Latitude Clarification</u>: Only use if necessary to explain the location. (Maximum Character Limit: 250)

TABLE 4 – GRANT APPLICATION CHECKLIST
Location: Identify the approximate location that best represents the center of the Project area. Provide cross-streets or the closest main streets for reference. Provide City and County(-ies) the Project is located in for frame of reference. (Maximum Character Limit: 100)
County(-ies): Provide the County(ies) in which the Project is located.
Groundwater Basins: Provide the groundwater basin as listed in the current version of DWR Bulletin 118 (https://water.ca.gov/Programs/Groundwater-Management/Basin-Boundary-Modifications) in which the Project is located. Only one application per basin is allowed.
Hydrologic Regions: Provide the hydrologic region in which the Project is located. For proposals covering multiple hydrologic regions, hold down the control key and select all that apply.
Watershed(s): Provide the name of the watershed(s) the groundwater basin underlies (Maximum Character Limit: 250) A map of California watershed can be found at the following link: https://www.conservation.ca.gov/dlrp/grant-programs/watershed/Documents/CALFED_Watershed_Map[1].pdf . If the groundwater basin covers multiple watershed, you may only provide one "Unique Watershed Number" as listed on the watershed map and should be the one that the majority of the Project is located.
LEGISLATIVE INFORMATION
Enter the State Assembly, State Senate, and U.S. Congressional Districts in which the groundwater basin is located. For proposals covering multiple State Assembly, State Senate, and U.S. Congressional Districts, hold the control key down and select all that apply. Maps of these districts are found at: http://www.legislature.ca.gov/legislators_and_districts/legislators/your_legislator.html .
PROJECTS TAB <i>This section contains information about the project contained in the Proposal. Applicants must enter all information listed in the Projects Tab of this Checklist (Table 4) along with any field marked with an asterisk (*).</i>
PROJECT INFORMATION
Project Name: Provide the title of the Project (Maximum Character Limit: 150) DO NOT include the solicitation name in the Project name (e.g., SGM Implementation Round 1). The Project name will remain the same for the life of the grant and must match identically with all invoices and timesheets that will be provided in invoicing if the Project is selected for funding.
Implementing Organization: This should be a GSA, member agency of a GSA, or an entity that has an approved Alternative.
Secondary Implementing Organization: Not applicable to this solicitation
Proposed Start Date: This date includes local cost share and reimbursement; therefore, the State Date should be after: Round 1: Must be after January 31, 2021 Round 2: Must be after January 31, 2022
Proposed End Date: This is the last date that funds can be reimbursed for the Project. Round 1: Must be before April 30, 2024 Round 2: Must be before April 30, 2025
Scope of Work: Describe the type(s) of work proposed and task(s) needed to complete work. (Maximum Character Limit: 450)
Project Description: Provide a generalized description of the proposed Project. Provide the need the Project will meet and how the proposed Project will meet the need(s). (Maximum Character Limit: 2,000)
Project Objective: Provide a description of the proposed Project's objectives, the goals and targets needed to meet those objectives, and how the proposed Project will meet those. (Maximum Character Limit: 1,000)
PROJECT BENEFITS INFORMATION
Benefit Level: Leave blank.
Benefit Type: Leave blank.
Benefit: Leave blank.
Description: Leave blank.
Measurement: Leave blank.

PROJECT BUDGET <i>The following budget items should be taken from Table 6A or 6B – Grant Proposal Summary Budget</i>
If only one Project (no components) is being proposed, use the “Copy Budget data from Applicant Info” feature to populate previously entered data. Otherwise, enter individual budget items for each Project component in the same manner as described for the Applicant Information Tab. The sum of the budget items must agree with the total project budget.
GEOGRAPHIC INFORMATION
Enter the geographical information for each individual Project and Project component location (latitude and longitude in degrees, minutes, and seconds).
LEGISLATIVE INFORMATION (Note: for each Project component; different from Applicant Information)
If only one Project is being proposed, use the “Copy Legislative data from Applicant Info” feature to populate previously entered data. Otherwise, enter legislature information for each Project component in the same manner as described for the Applicant Information Tab. For projects covering more than one district, hold the control key down and select all that apply.
QUESTIONS TAB <i>The answers to these questions will be used in processing the application and determining eligibility and completeness.</i>
Q.1. Project Description: Provide a brief abstract of the proposal. This abstract must provide an overview of the proposal including the main issues and priorities addressed in the proposal. (25 words or less)
Q.2. Previous Funding: Has the applicant received prior funding through another grant source? If so, identify the source and amount of funding provided for the Project.
Q.3. Project Representatives: Provide the name and details of the Project Director who is responsible for signing and executing the grant agreement for the applicant. This is the authorized person as outlined within the adopted resolution and the title within the adopted resolution must align with the title provided here. Persons that are contractors/consultants or their subs cannot be listed as the Project Director. Other entities included in the GSA can be listed here.
Q.4. Project Manager: Provide the name, title, and contact information of the Project Manager from the applicant agency or organization that will be the day-to-day contact on this application. This person must be an employee of the applicant agency and cannot be contractors/consultants or their subs.
Q.5. Eligibility: Has the applicant met the requirements listed within the 2019 Guidelines and submitted the Eligibility Criteria Self-Certification form?
Q.5.1. Is the proposed Project within the Projects and Management Actions section of the GSP or in an approved Alternative? Provide page references to the GSP or Alternative. The GSP or Alternative must be adopted and submitted on the SGMA Portal (a link to the SGMA Portal can be found in the Foreword). Provide the automated email response from the SGMA Portal.
Q.6. Eligibility: To satisfy SB 985 requirements, all stormwater and dry weather capture projects must be listed in a SWRP or approved FE-SWRP that is consistent with the relevant code provisions enacted by SB 985 (Water Code §10562 (b)(7)) as determined by the State Water Board. The SWRP or FE-SWRP must be incorporated within the local Integrated Regional Water Management Plan (IRWMP). The definition of a stormwater and dry weather capture project is provided within Appendix B of the 2019 Guidelines.
Q.7. URC Cost Share Waiver or Reduction: Are you applying for a local cost share waiver or reduction as a project that benefits an URC? Fill out Attachment 6 – URC, as appropriate.
Q.8. Certification: By submitting the application, the applicant and its authorized representative are certifying that: a) The applicant is an eligible entity; b) He/She is aware that any attachment exceeding the page limit listed in the attachment templates will NOT be reviewed; c) He/She is aware that, once the proposal is submitted in GGrANTS, any privacy rights and other confidentiality protections offered by law with respect to the application package and project location are waived; d) He/She is aware that, if the proposed Project is chosen for funding, any privacy rights and other confidentiality protections offered by law with respect to any portion of the grant (including the grant agreement, all deliverables, all invoices and backup documentation supporting the invoices, and all reporting requirements outlined within the agreement) are waived; and e) He/She, and their attorney, has read and agrees to all the Terms and Conditions of the grant agreement template.

CLIMATE RISK IN INVESTMENTS TAB <i>The answers to these questions will be used in surveying Program applicants.</i>	
Q.9. Climate:	Does the organization have a strategic business plan? (Yes/No. If Yes, please submit a copy. If No, please explain.)
Q.10. Climate:	Has the organization conducted a climate change vulnerability assessment? (Yes/No. If Yes, please submit a copy. If No, please explain.)
Q.11. Climate:	Does the organization have a main contact person for climate change? (Yes/No. If Yes, to what position in the organization does that person report?)
Q.12. Climate:	Has the organization considered the risk of climate change in its capital reserves and investments? (Open ended; one to three paragraphs with specific examples.)
ATTACHMENTS TAB <i>Provide the attachments listed below by attaching files to the GRanTS application. When attaching files, please use the naming convention found in Section V.B of this PSP. Requirements for information to be included in these attachments are found in Section V.B.2 of this PSP or in the supplied templates.</i>	

ATTACHMENT #	ATTACHMENT TITLE
Attachment 1	Authorizing Documentation (e.g., adopted resolution using example provided on Page 18)
Attachment 2	Eligibility Criteria Self-Certification Form
Attachment 3	Work Plan (Applicant <u>MUST</u> use supplied template)
Attachment 4	Budget (Applicant <u>MUST</u> use supplied template)
Attachment 5	Schedule (Applicant <u>MUST</u> use supplied template)
Attachment 6	URC Documentation (Applicant <u>MUST</u> use supplied template)

ATTACHMENTS TAB INSTRUCTIONS

Within the Attachments Tab, applicants are required to submit up to X (x) attachments, as applicable, to complete the SGM Implementation – Round 1 or Round 2 grant solicitation application. A discussion of each attachment is provided below. Attachments 1 and 2 (Authorizing Documentation and Eligibility Criteria Self-Certification Form) are mandatory and provide backup documentation for the eligibility of an applicant. Attachments 3 through 5 (Work Plan, Budget, and Schedule) are also mandatory and will be scored during the application review based upon the applicant using the templates provided on the Program’s website. Attachment 6 (URC Documentation) is optional, but must be submitted if the applicant is requesting a Local Cost Share Waiver or Reduction, as applicable.

ATTACHMENT 1. AUTHORIZING DOCUMENTATION

For the “AttachmentName” in the naming convention of GRanTS, use the following for this attachment:

Att1_SGM_AuthDoc_#of#”.

The applicant must provide an adopted resolution that has been adopted by the applicant’s governing body designating an authorized representative to submit the application and execute an agreement with the State of California for the SGM Implementation – Round 1 or SGM Implementation – Round 2 grant application.

If an entity is acting on behalf of a GSA, then an adopted resolution from the GSA is required authorizing the applicant entity to act in such a role. Furthermore, a resolution is required by the entity acting as applicant stating authorization to work on behalf of the GSA. Therefore, no less than two adopted resolutions are required for the application and grant execution.

If the resolution cannot be adopted prior to the application due date, please contact DWR, as indicated in the Foreword, to discuss the situation and explain this in Attachment 1, including an anticipated submittal date for the adopted resolution(s). A Grant Agreement cannot be signed without an adopted resolution signed by the appropriate authorities.

The following text box provides an example of the resolution that must be submitted to fulfill this requirement.

RESOLUTION NO. _____

Resolved by the *<Insert Name of Applicant Governing Body>*, that an application be made to the California Department of Water Resources to obtain a grant under the 2019 Sustainable Groundwater Management (SGM) Grant Program Implementation – Round 1 *<or Round 2>* Grant pursuant to the California Drought, Water, Parks, Climate, Coastal Protection, and Outdoor Access For All Act of 2018 (Proposition 68) (Pub. Resource Code, § 80000 et seq.), and to enter into an agreement to receive a grant for the: *<Insert Project Name>*. The *<Insert title of Authorized Applicant Official>* of the *<Insert Name of Applicant>*, or designee, is hereby authorized and directed to prepare the necessary data, conduct investigations, file such application, and execute a grant agreement, and any future amendments (if required), with the California Department of Water Resources. Passed and adopted at a meeting of the *<Insert Name of Applicant>* on *<Insert date>*.

Authorized Original Signature: _____
Printed Name: _____
Title: _____
Clerk/Secretary: _____

CERTIFICATION

I do hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the *<Insert Name of Applicant>* held on *<Insert date>*.

Clerk/Secretary: _____

DWR highly recommends you follow this language verbatim to ensure that the resolution is sufficient to execute an agreement, execute future amendments (if required), submit invoices, and submit all reporting requirements. Any deviation from this template may result in a delay in executing the grant agreement and beginning the Project.

ATTACHMENT 2. ELIGIBILITY CRITERIA SELF-CERTIFICATION FORM

For the "AttachmentName" in the naming convention of GRanTS, use the following for this attachment:

"Att2_SGM_EligDoc_#of#".

The applicant must use the form located on the Program's website (www.water.ca.gov/sgmgrants) and upload the completed form as Attachment 2. Details for the eligibility criteria can be found in Section III.C. of the 2019 Guidelines.

ATTACHMENT 3. WORK PLAN

For the "AttachmentName" in the naming convention of GRanTS, use the following for this attachment:

"Att3_SGM_WrkPlan_#of#".

Attachment 3 must be consistent with and support the Budget and Schedule (Attachments 4 and 5, respectively). The Work Plan template should be downloaded from the Program's webpage at www.water.ca.gov/sgmgrants.

The Work Plan **MUST NOT EXCEED** 30 pages using a minimum Arial, 10-point type font. Anything greater than 30 pages will not be reviewed or used in our scoring of the application. Maps, supporting letters, figures, or tables should NOT be included within the Word document and should be uploaded separately. Having the maps, supporting letters, figures, or tables in a separate document will ensure that these items are not counted against your page limit. There is no limit to the maps, supporting letters, figures, or tables. Please refer to the template for specific details that should be included. An

outline and general description are provided in the table below. Any changes made to the Word template will not be reviewed or scored by DWR technical staff.

TABLE 5 – WORK PLAN TEMPLATE OUTLINE

Section Title	Section Description	Maximum Page Limit
PROJECT DESCRIPTION	Provide a narrative description of the proposed Project, a brief description of the groundwater basin from the GSP, a description of communities served by the Project, and maps that provide site context.	8
PROJECT JUSTIFICATION	Describe in detail the quantifiable benefits provided by the Project, how benefits are expected to be realized by the Project, how benefits will be evaluated and quantified, how the Project will serve an URC (if applicable), and how benefits align with Preferences and Priorities.	8
TECHNICAL EXPERTISE	Explain the “Technical Need” for the proposed Project, identify the roles and responsibilities of entities responsible for Project completion, provide statement(s) of qualifications of entities responsible for Project completion, and a describe the legal authority required for the Project.	6
SCOPE OF WORK AND DELIVERABLES	Descriptions of the anticipated tasks necessary to complete the proposal. Tasks should be organized by the five budget categories, as indicated in the templates. The work plan should also identify the anticipated deliverables for each task. Each task identified in the proposal must have a minimum of one deliverable. Deliverables should be actual work products that can be submitted to DWR. Include the percent complete (0 – 100%) completed.	8

ATTACHMENT 4. BUDGET

For the “AttachmentName” in the naming convention of GRanTS, use the following:

“Att4_SGM_Budget_#of#”.

Attachment 4 includes the estimated costs for the Project, as described in the Work Plan (Attachment 3). Applicants **MUST** use the templates provided at www.water.ca.gov/sgmgrants to obtain a budget score. **Any changes made to this template will not be reviewed or scored by DWR technical staff.** Attachment 4 (the combination of Tables 6 and 7, with a written description) is mandatory and includes the estimated costs of the Project or each component (if applicable) in the application. The maximum 2-page written description should provide a short justification for each budget category (at a minimum) and can, if space permitted, provide justification by tasks. Applicants are encouraged to provide engineers estimates, obtained bids, informal estimates, similarly completed project final budget, etc. as justification to accompany the Budget tables and 2-page written description. These additional backup justifications are not included in the 2-page limit.

Use the appropriate Table 6: Proposal Summary Budget Table (Table 6A, No Components) with Table 7A: Proposal Detailed Budget Table (No Components); or Component Summary Budget (Table 6B, Multiple Components) with Table 7B: Proposal Detailed Budget (Multiple Components). Attachment 4 should be presented in the following sub-sections:

- Grant Proposal Summary Budget Table (Table 6A/6B)
- Proposal/Component Detailed Budget Table (Table 7A/7B)

For the Budget Tables, costs must be broken down consistent with how tasks are presented in the Work Plan (Attachment 3). For example, if the Work Plan describes projects at the task and subtask level, the budget must also present costs at the task and subtask level. In addition to the tables, the applicant must provide a description explaining how the values were derived. The description must not exceed two pages per component using a minimum Arial, 10-point type font.

NOTE: the maximum grant administration budget cannot exceed 10% and the maximum construction administration budget cannot exceed 15% of the requested grant funds. Grantees shall invoice and report on a quarterly basis only.

Grant Proposal Summary Budget

Table 6A and 6B will be used to present the summarized budget and the local cost share for the proposal, including documenting that the proposal will meet the minimum requirement of at least 25% of the total project cost. The minimum local cost share applies to the cost of the overall proposal. If the Project serves an URC and is requesting a waiver or reduction of the 25% local cost share requirement, please complete the budget table accordingly and include a footnote identifying the local cost share waiver or reduction request.

If there are no components to the proposal, Table 6A should be used.

TABLE 6A – GRANT PROPOSAL SUMMARY BUDGET (NO COMPONENTS)

GRANT PROPOSAL TITLE: _____

Grant proposal serves a need of an URC?: Yes ☐ No ☐

Local Cost Share requested: ☐ 25% ☐ 15% ☐ 5% ☐ 0%

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost	(d) % Local Cost Share (Col (b)/ Col (c))
(a) Grant Administration	\$0	\$0	\$0	%
(b) Planning / Design / Environmental	\$0	\$0	\$0	%
(c) Construction / Implementation	\$0	\$0	\$0	%
(d) Monitoring / Assessment	\$0	\$0	\$0	%
(e) Stakeholder Outreach / Public Education				
Grand Total <i>Sum rows (a) through (d) for each column</i>	\$0	\$0	\$0	%

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: *Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.*

If there are components, Table 6B should be used.

TABLE 6B – GRANT PROPOSAL SUMMARY BUDGET (MULTIPLE COMPONENTS)

GRANT PROPOSAL TITLE: _____

Grant proposal serves a need of an URC?: Yes ☐ No ☐

Local Cost Share requested: ☐ 25% ☐ 15% ☐ 5% ☐ 0%

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost	(d) % Local Cost Share (Col (b)/ Col (c))
Component 1 Grant Administration	\$0	\$0	\$0	%
Component 2: Title	\$0	\$0	\$0	%
Component 3: Title	\$0	\$0	\$0	%
Component n: Title	\$0	\$0	\$0	%
Grand Total <i>Sum rows (1) through (n) for each column</i>	\$0	\$0	\$0	%

¹ These components are shown here for example purpose only. Actual number of components may vary.

² List sources of funding: *Use as much space as required. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.*

Proposal/Component Detailed Budgets

Table 7 must be completed and there should be a Table 7 for each component in the proposal (if applicable). Table 7A/B includes the required budget categories listed in Table 6A/B. If applicable, additional rows must be added under the budget categories to present the cost of each task described in Attachment 3 – Work Plan. For example, if the Work Plan describes components at the task and subtask level, the Detailed Budget must also present costs at the task and subtask level.

If there are no components to the proposal, Table 7A should be used.

TABLE 7A – PROPOSAL/COMPONENT DETAILED BUDGET (NO COMPONENTS)

GRANT PROPOSAL TITLE: _____

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost
(a) Grant Administration	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(b) Planning / Design / Environmental	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(c) Construction / Implementation	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Monitoring / Assessment	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Stakeholder Outreach / Public Education	\$0	\$0	--
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total Sum rows (a) through (d) for each column	<i>Grand Total from Table 6A, column (a) Proposal Total</i>	<i>Grand Total from Table 6A, column (b) Proposal Total</i>	<i>Grand Total from Table 6A, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

If there are components to the proposal, Tables 7B should be used.

TABLE 7B – PROPOSAL/COMPONENT DETAILED BUDGET (MULTIPLE COMPONENTS)

GRANT PROPOSAL TITLE: _____

COMPONENT TITLE: COMPONENT 1: GRANT ADMINISTRATION

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost
(a) Grant Administration	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total <i>Sum rows in Category (a) for each column</i>	<i>Grand Total from Table 6B, column (a) Proposal Total</i>	<i>Grand Total from Table 6B, column (b) Proposal Total</i>	<i>Grand Total from Table 6B, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: *Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.*

TABLE 7B – PROPOSAL/COMPONENT DETAILED BUDGET (MULTIPLE COMPONENTS)

GRANT PROPOSAL TITLE: _____

COMPONENT TITLE: _____

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost
(a) Component Administration	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(b) Planning / Design / Environmental	\$0	\$0	<i>Total from Table 6B, column (c), row (c)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(c) Implementation / Construction	\$0	\$0	<i>Total from Table 6B, column (c), row (d)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Monitoring / Assessment	\$0	\$0	<i>Total from Table 6B, column (c), row (e)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(e) Stakeholder Outreach / Public Education	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total <i>Sum rows (a) through (e) for each column</i>	<i>Grand Total from Table 6B, column (a) Proposal Total</i>	<i>Grand Total from Table 6B, column (b) Proposal Total</i>	<i>Grand Total from Table 6B, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: *Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.*

Copy and paste the following Table 7B for all remaining components:

TABLE 7B – GRANT PROPOSAL SUMMARY BUDGET (NO COMPONENTS)

GRANT PROPOSAL TITLE: _____
COMPONENT TITLE: _____

Budget Categories ¹	(a) Requested Grant Amount	(b) Local Cost Share: Non- State Fund Source ²	(c) Total Cost
(a) Component Administration	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(b) Planning / Design / Environmental	\$0	\$0	<i>Total from Table 6B, column (c), row (c)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(c) Implementation / Construction	\$0	\$0	<i>Total from Table 6B, column (c), row (d)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(d) Monitoring / Assessment	\$0	\$0	<i>Total from Table 6B, column (c), row (e)</i>
Task 1. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
(e) Stakeholder Outreach / Public Education	\$0	\$0	<i>Total from Table 6B, column (c), row (a)</i>
Task 1. xx	\$0	\$0	--
Task 2. xx	\$0	\$0	--
Task n. xx	\$0	\$0	--
Grand Total <i>Sum rows (a) through (e) for each column</i>	<i>Grand Total from Table 6B, column (a) Proposal Total</i>	<i>Grand Total from Table 6B, column (b) Proposal Total</i>	<i>Grand Total from Table 6B, column (c) Proposal Total</i>

¹ Only these Budget Categories shall be used. Tasks can be added for more detail.

² List sources of funding: Use as much space as required here. Local Cost Share is calculated based on the total project cost (grant amount plus match), not the grant amount. Total project cost x %Local Cost Share = required match.

ATTACHMENT 5. SCHEDULE

For the "AttachmentName" in the naming convention of GRanTS, use the following:

"Att5_SGM_Schedule_#of#".

Attachment 5 shall include a schedule for each component showing the sequence and timing of each of the tasks and subtasks, depending on how the tasks and subtasks are outlined in the Work Plan and Budget tables. Please use Table 8A for applications without components and Table 8B for applications with multiple components.

The Schedule template should be downloaded from DWR's SGM webpage at the following link: www.water.ca.gov/sgmgrants. Any changes made to this template, reluctance to use the template, or changes made to the font type and size will not be reviewed or scored by DWR's technical staff. However, if there are no components to the proposal, those rows can be removed. Additionally, any other added tables or charts will not be used in the scoring criteria. **Only Attachment 5 – Schedule template tables and 2-page description will be used in the scoring criteria.**

Attachment 5 – Schedule must be consistent with Attachment 3 – Work Plan and Attachment 4 – Budget. The proposal dates within the proposal must start and end at the following dates:

Round 1:	Start Date –	Local cost share can begin after January 31, 2020
	Work Completion Date –	Reimbursable grant funds begin after the execution date on or before April 30, 2024

Round 2:	Start Date –	Local cost share can begin after January 31, 2022
		Reimbursable grant funds begin after the execution date
	Work Completion Date –	on or before April 30, 2025

The dates within the Schedule cannot be before the local cost share Start Date or after the Work Completion Date. The Work Completion Date is the date that all deliverables and invoices are submitted to DWR and approved by the DWR Grant Manager. The Work Completion Date IS NOT the construction end date. Absolutely no work will be reimbursed or reported as local cost share after the Work Completion Date.

TABLE 8A – GRANT PROPOSAL SCHEDULE (NO COMPONENTS)

GRANT PROPOSAL TITLE: _____

Categories	Start Date (Earliest Start Date)	End Date (Latest End Date)
(a) Grant Agreement Administration		
Task n. xx		
(b) Planning / Design / Environmental		
Task n. xx		
(c) Construction / Implementation		
Task n. xx		
(d) Monitoring / Assessment		
Task n. xx		
(e) Stakeholder Outreach / Public Education		
Task n. xx		

TABLE 8B – GRANT PROPOSAL SCHEDULE (MULTIPLE COMPONENTS)

GRANT PROPOSAL TITLE:

COMPONENT TITLE: _____

Categories	Start Date	End Date
Component 1: Grant Agreement Administration	Earliest Start Date	Latest End Date
(a) Grant Agreement Administration		
Task 1. xx		
Task n. xx		
Component 2: Title	Earliest Start Date	Latest End Date
(a) Component Administration		
Task 1. xx		
Task n. xx		
(b) Planning / Design / Environmental		
Task 1. xx		
Task n. xx		
(c) Construction / Implementation		
Task 1. xx		

Categories	Start Date	End Date
Task n. xx		
(d) Monitoring / Assessment		
Task 1. xx		
Task n. xx		
(e) Stakeholder Outreach / Public Education		
Task 1. xx		
Task n. xx		
Component n: Title	Earliest Start Date	Latest End Date
(a) Component Administration		
Task 1. xx		
Task n. xx		
(b) Planning / Design / Environmental		
Task 1. xx		
Task n. xx		
(c) Construction / Implementation		
Task 1. xx		
Task n. xx		
(d) Monitoring / Assessment		
Task 1. xx		
Task n. xx		
(e) Stakeholder Outreach / Public Education		
Task 1. xx		
Task n. xx		

Attachment 6. Local Cost Share Waiver or Reduction Justification (As applicable)

If claiming an URC status, then you must prepare Attachment 6 – Local Cost Share Waiver or Reduction Justification using the “AttachmentName” of:

“Att6_SGM_URC_#of#”.

Attachment 6 is required for only those applicants requesting a local cost share waiver or reduction. All other applicants do not need to submit Attachment 6.

DWR recommends that applicants consult the 2019 Guidelines Appendix D to determine if the Project benefit area includes a DAC, SDAC, or EDA and for details on waiving or reducing the local cost share requirements. Applicants should also consult the URC Guidance document provided on our website at www.water.ca.gov/sgmgrants for those benefit areas that are not identified as a DAC, SDAC, or EDA but fall within the definition of a URC. Examples on how to determine your Project’s benefit area are also found within the URC Guidance document.

Include information that supports the Project benefits to an URC, such as a map or shapefile, that shows the Project benefit area and location(s) of the URC(s). Include information that demonstrates support for the Project by the URC(s) (e.g., letter(s) of support from representatives within the URC(s)).

Where the lack of representative census data that adequately represents the community can be documented, alternative studies (e.g., local income surveys, a subset of a block group, etc.) may be substituted in the attachment. In determining the median household income (MHI) for an URC, applicants may use a single type of census geography or combination of census geographies that best represent the URC.

For the applicants with Geographic Information System (GIS) capability, the GIS data files used within the DAC and EDA mapping tools are available to download and use and can be found at the following

link: <https://water.ca.gov/Work-With-Us/Grants-And-Loans/Mapping-Tools>. These GIS files will allow applicants to combine project area shape files with URC data layers. This will help applicants show the extent of overlap or areas with URCs.

VI. APPLICATION REVIEW

All applications will first be screened for eligibility and completeness in accordance with Section VI of the 2019 Guidelines and Section III of this PSP. The information provided by applicants in GRanTS, as well as Attachments 1 through 5 of the application, will be used in determining eligibility and completeness. The final dates for all proposals to be submitted into GRanTS will be provided in the grant solicitation announcement and posted on the SGM Grant Program website (www.water.ca.gov/sgmgrants).

All complete and eligible applications will be evaluated, scored, and ranked based on the evaluation questions presented below in Table 9 – Application Evaluation Criteria.

For proposals with multiple components, the evaluation will be repeated for each component. The score for a proposal with multiple components will be determined by summing each individual component's total score, dividing that summation by the number of components for the Component Average Score, and then rounding up or down to the nearest whole number (Final Score). See Table 9 for an example of the scoring criteria.

DWR staff may recommend reducing individual grant amounts from the requested amount. However, such reductions will be considered only if technical reviewers have indicated that the budget is too high for the task(s)/component(s) described or some tasks/components are determined to be ineligible for the grant program or are not necessary for project completion. A reduction would also be weighed against whether the reduced funding would impede project implementation or if the proposed budget is determined inconsistent with similar projects. A reduction in requested grant funds can also occur when a greater number of well-qualified projects are requesting grant funds greater than the funding available.

If multiple applications are received within a single basin, DWR will contact the applicants and request that a consolidated application for the basin be submitted before the close of the open filing period, if feasible. If identified after the close of the solicitation, DWR will work with the multiple applicants to consolidate, if awarded.

VII. AWARD PROCESS

Funding will be allocated to proposals consistent with minimum and maximum award amounts, using the proposal score, professional judgement, and available funding. DWR's funding recommendation may vary from grant funding requests.

Following funding awards DWR will execute a grant agreement with the Grantee. Grant agreements are not executed until signed by both the authorized representative of the Grantee and DWR. The Grantees have approximately six months to obtain an executed grant agreement after the grant award notification letter is sent by DWR. The exact date for grant agreement execution will be outlined in the grant award notification letter. DWR reserves the right to withdraw an award due to lack of responsiveness on the part of the applicant.

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Project Description	1	Was a description of the proposed Project or Component provided? Did it explain why this Project or Component was chosen over all others identified in the Plan in terms of benefits provided, communities served, measurable objectives, minimum thresholds, plan implementation timeline, and feasibility?	3	3	• 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Project Description	2	Did the Work Plan provide a description of the circumstances that initiated the Project or Component, the criteria that triggered implementation of the Project, the process the Agency utilized to determine that conditions require Project or Component implementation, and criteria that would trigger Project or Component termination? Were page references to the Plan provided?	3	3	• 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Project Description	3	Does the proposal provide a brief description of the groundwater basin setting, beneficial uses, sustainability goal, measurable objectives, minimum thresholds, and undesirable results? Were page references to the Plan provided? • <i>A half point for each (i.e., groundwater basin setting, beneficial uses, sustainability goal, measurable objectives, minimum thresholds, and undesirable results) is possible. The final score will be rounded up to the nearest whole number for the final score. No points will be awarded if page references are not provided.</i>	3	3	• 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Project Description	4	Does the Project Description demonstrate the goals, objectives, and needs of the proposed Project or Component? How does the Project or Component meet those? If there are multiple Components, does it demonstrate how they work together as a whole to address the goals, objectives, and needs? Do the descriptive elements align with other planning documents such as IRWM Plans, SWRP, etc.? • <i>Goals, objectives, and needs are worth a total of 1 point.</i> • <i>How the Project or Component meets the groundwater basin setting, beneficial uses, sustainability goals, measurable objectives, minimum thresholds, and undesirable results is worth 3 points with 0.5 points addressed to each listed.</i> • <i>Goals, objectives, and needs of the Project or Component aligning with other planning documents is worth 1 point. No points will be given if not addressed. No points will be awarded if page references are not provided.</i>	3	5	• 5 – All aspects of the question are addressed, and backup documentation and/or explanation provided sufficient detail to justify claims. • 4 – All aspects of the question are addressed; however, the backup documentation and/or explanation is lacking detail. • 3 – All aspects of the question are moderately addressed; however, the backup documentation did not provide backup documentation and/or explanation to justify claims. • 2 – Missing aspects of the question; however, backup documentation and/or explanation missing details. • 1 – Outlined some aspects of the question and did not provide backup documentation and/or explanation to justify the claim. • 0 – Not addressed

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Project Description	5	Was there a regional and Project map(s) depicting the site location, current conditions, URCs, and benefitting areas? • <i>A point will be provided for each detail listed and can be depicted on one or multiple maps. However, the information should be clear and easy to read. If not, the point will not be given for that particular map.</i>	3	2	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Project Description	6	Did the applicant provide a map and narrative of current and historical land use(s) of the site and surrounding area? Did the applicant provide a description of current and historical groundwater and soil contamination issues at the site or in the vicinity of the Project site? • <i>A point for each map and description is possible. The description will be scored according to the defined scoring criteria; however, a score of 0 will be given if a map is not accompanying the description.</i>	3	2	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Project Benefits	7	Does the Project or Component include Low Impact Development, Green Infrastructure, Green or Complete Streets, and other stormwater type project that slow, sinks, or spreads stormwater where it falls?	3	3	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Project Benefits	8	Will the Project or Component provide at least two quantifiable benefits (refer to Page 10? Was an explanation of the benefits that are expected to be realized from the Project or Component provided, and how those benefits will be evaluated and quantified? Do Project or Component benefits align with objectives and actions from other planning documents or State agency initiatives (e.g., Integrated Regional Water Management (IRWM) Plans, SWRPs, Draft Water Resiliency Portfolio, Prop 1 IRWM DAC Involvement Needs Assessment Report, California's Healthy Soils Initiative, and other Integrated Watershed Management planning efforts)? • <i>A minimum of 2 quantifiable benefits are required to obtain a score of 2 points if the benefits are well defined. To obtain full points, 3 or more quantifiable benefits must be identified and fully supported with backup documentation.</i>	3	4	• 4 – 4 (or more) quantifiable benefits with sufficient backup documentation and the benefits align with other planning documents and State agency initiatives. • 3 – 3 quantifiable benefits with sufficient backup documentation and the benefits align with other planning documents and State agency initiatives. • 2 – 2 quantifiable benefits with sufficient backup documentation and the benefits align with other planning documents and State agency initiatives. • 1 – 2 quantifiable benefits without sufficient backup documentation and do not align with other planning documents and State agency initiatives. • 0 – less than 2 quantifiable benefits are listed, or the benefits provided do not have supporting documentation.

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)

Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Project Benefits	9	Will the Project or Component positively impact issues associated with small water systems or private shallow domestic wells (groundwater contamination vulnerability, drawdown, etc.)? Was justification such as domestic well census results, water system maps, service area maps, etc. provided? <i>A reasonable explanation of how the Project or Component addresses issues associated with small water systems or private shallow domestic wells is expected to receive full points.</i>	3	2	2 – Fully addressed 1 – Less than fully addressed 0 – Not addressed
Project Benefits	10	Is the Project or Component located within an URC as defined on Page 7? Will the Project or Component benefit an URC? Were the URC(s) that the Project or Component will benefit identified? Did the Work Plan demonstrate how the goals and objective of the Project or Component will provide measurable benefits to the URC(s)? Was a description of outreach activities for URCs provided? Were the concerns and priorities identified from URCs or community representatives during stakeholder outreach activities identified? Were letters of support from the URC(s) or representatives of URC(s) provided? <i>Zero points will be awarded if the applicant does not obtain support letters.</i>	3	4	4 – Located within and solely benefits the URC; URC clearly identified; outreach activities included; needs of URC fully addressed; minimum support letters provided. 3 – Located within and solely benefits the URC; URC clearly identified; outreach activities included; minimum support letters provided; needs of URC not fully addressed. 2 – Not located within but solely benefits the URC; URC clearly identified; needs of URC moderately addressed; letters of support provided. 1 – Not located within but a minimum of 50% of the benefits are for an URC; URC clearly identified; needs of URC not addressed; letters of support provided. 0 – not located nor benefits a URC; benefits not well defined.
Project Benefits	11	How does the proposed Project or Component address the Human Right to Water (AB 685 Section 106.3)? How will the Project or Component support the established policy of the State that every human being has the right to safe, clean, affordable, and accessible water adequate for human consumption, cooking, and sanitary purposes?	3	2	2 – Fully addressed 1 – Less than fully addressed 0 – Not addressed
Technical Expertise	12	Does the Work Plan identify the roles and responsibilities of the key personnel responsible for the completion of the Project or Component? Do the key personnel demonstrate the appropriate experience, knowledge, and skills necessary to successfully complete the Project? Were assurances given that the plans and specifications and construction will be prepared by or under the direction of a licensed professional geologist or professional engineer, as applicable? Did the applicant contact the California Conservation Corp (CCC) to obtain their services for the Project or Component and provide proof from the CCC? <i>To obtain full points, you must submit a completed evaluation form from the CCC to demonstrate you reached out to them.</i>	3	3	3 – Fully addressed 2 – Mostly addressed, with minor details not included or unclear 1 – Marginally addressed 0 – Not addressed

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)					
Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Technical Expertise	13	Have the key personnel identified in Question 15 successfully completed a general obligation bond-funded project through a State grant program? If not, did the applicant provide a clear understanding of the expectations required to successfully complete a bond-funded grant?	3	1	• 1 – Fully addressed • 0 – Not addressed
Technical Expertise	14	Does the applicant have the legal access rights, easements, or other access capabilities to the property to implement the Project or Component? If not, does the applicant provide a clear and concise narrative and schedule to obtain the necessary access? <i>The applicant must demonstrate legal access rights, easements, or other access capabilities to the property to obtain full points.</i>	3	2	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Technical Expertise	15	Did the applicant provide a description of the legal authority required for the Project or Component, management action, and the basis for that authority within the Agency?	3	1	• 1 – Fully addressed • 0 – Not addressed
Technical Expertise	16	Did the applicant list all permits, easements, right of ways, CEQA documentation, resolutions needed, etc. for the Project or Component and the status of each? <i>The status of each is needed to obtain points.</i>	3	2	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Scope of Work and Deliverables	17	Does the Work Plan include a complete description of all tasks necessary to result in a completed Project or Component? Are all necessary and reasonable deliverables identified? <i>To obtain full points, a full description of each task and/or subtask should be provided. You cannot just list the task and expect to obtain points.</i>	3	3	• 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Scope of Work and Deliverables	18	Does the Work Plan include a complete description of all tasks necessary to result in a completed Project or Component? Are all necessary and reasonable deliverables identified? <i>To obtain full points, a full description of each task and/or subtask should be provided. You cannot just list the task and expect to obtain points.</i>	3	3	• 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Scope of Work and Deliverables	19	Does the Work Plan include a discussion of coordination with other entities, agencies, and/or organizations and a detailed description of the approach and practices the Project or Component is proposing to use and technical basis for approach?	3	2	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Budget and Schedule	20	Does the Budget reflect the priorities and goals identified in the Project or Component description? Is it consistent with the priorities and goals? Is the Budget complete and reasonable to execute the Work Plan on the Schedule provided?	3 & 4	3	• 3 – Fully addressed • 2 – Mostly addressed, with minor details not included or unclear • 1 – Marginally addressed • 0 – Not addressed
Budget and Schedule	21	Does the Budget leverage fund with other private, Federal, or local fund sources? If not, does the Project or Component qualify for a local cost share waiver?	4	1	• 1 – Fully addressed • 0 – Not addressed
Budget and Schedule	22	Is the Grant Administration costs and the Construction Administration costs 10% and 15%, or less, respectively, of the overall grant funds requested?	4	1	• 1 – Fully addressed • 0 – Not addressed
Budget and Schedule	23	Were quotes, bids, engineer's cost estimates, or cost for a similarly completed project provided to justify the costs listed within the Budget?	4	2	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed

TABLE 9 – APPLICATION EVALUATION CRITERIA (FOR PROJECT OR COMPONENT)					
Section Name	Q#	Questions	Attachment(s)	Possible Points	Scoring Guidance
Budget and Schedule	24	Is the Budget and Schedule consistent with the Work Plan Scope of Work (e.g., tasks and subtasks outlined in the Scope of Work are also outlined in the same level of detail within the Budget and Schedule)?	3, 4, & 5	2	• 2 – Fully addressed • 1 – Less than fully addressed • 0 – Not addressed
Budget and Schedule	25	Given the level of effort described in the Work Plan, does the Schedule seem reasonable?	3 & 5	1	• 1 – Fully addressed • 0 – Not addressed
Total Range of Possible Points				0-60	
Total Project Level Score for all proposed components:					
Average Project Level Score = (Total Project Score/# of Components):					

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*CALIFORNIA NATURAL RESOURCES AGENCY'S
DEPARTMENT OF WATER RESOURCES -
DIVISION OF REGIONAL ASSISTANCE*

